

Developing a 3-Choice Serial Reaction Time Task for Examining Neural and Cognitive Function in an Equine Model

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Ethics: Ethical approval was provided by the ethics committee and the Royal Agricultural University

Original Research Article

Highlights

- A mobile, fully-automated operant system for the horse is presented
- A validated 3-CSRTT training method has been developed
- Horses required 3 days of training to achieve learning criterion
- Horses reach steady state responding within six sessions
- Horses may provide a complement to rodent models of human neurological dysfunction

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16 ABSTRACT

17 Background: Large animal models of human neurological disorders are
18 advantageous compared to rodent models due to their neuroanatomical complexity,
19 longevity and their ability to be maintained in naturalised environments. Some large
20 animal models spontaneously develop behaviours that closely resemble the
21 symptoms of neural and psychiatric disorders. The horse is an example of this; the

22 domestic form of this species consistently develops spontaneous stereotypic
23 behaviours akin to the compulsive and impulsive behaviours observed in human
24 neurological disorders such as Tourette's syndrome. The ability to non-invasively
25 probe normal and abnormal equine brain function through cognitive testing may
26 provide an extremely useful methodological tool to assess brain changes
27 associated with certain human neurological and psychiatric conditions.

28 New Method: An automated operant system with the ability to present visual and
29 auditory stimuli as well as dispense salient food reward was developed. To validate
30 the system, ten horses were trained and tested using a standard cognitive task
31 (three choice serial reaction time task (3-CSRTT)).

32 Results: All animals achieved total learning criterion and performed six probe
33 sessions. Learning criterion was met within 16.30 ± 0.79 sessions over a three day
34 period. During six probe sessions, level of performance was maintained at
35 $80.67 \pm 0.57\%$ (mean \pm SEM) accuracy.

36 Comparison with existing method(s): This is the first mobile fully automated system
37 developed to examine cognitive function in the horse.

38 Conclusions: A fully-automated operant system for mobile cognitive function of a
39 large animal model has been designed and validated. Horses pose an interesting
40 complementary model to rodents for the examination of human neurological
41 dysfunction.

42 Highlights

- 43 - A mobile, fully-automated operant system for the horse is presented
- 44 - A validated 3-CSRTT training method has been developed

- 45 - Horses required 3 days of training to achieve learning criterion
- 46 - Horses reach steady state responding within six sessions
- 47 - Horses may provide a complement to rodent models of human neurological
- 48 dysfunction

49 Key words: Horse; Operant; Cognition; Learning; 3-CSRTT; Tourette's Syndrome.

50

51 **1.0 Introduction**

52 The development of large animal models for examination of neurocognitive dysfunction is
53 increasing in popularity, with several examples in sheep (*Ovis aries domestica*; Morton &
54 Howland, 2013; McBride *et al.*, 2016) and pigs (*Sus scrofula domesticus*; Morton &
55 Howland, 2013). Large animal and human brains demonstrate distinct neuroanatomical
56 similarities which rodent models lack, for example both possess gyrencephalic cerebral
57 cortex and heterogeneous striatal structures (McBride & Hemmings, 2005; Morton &
58 Howland, 2013); the latter being particularly important for detailed study of basal ganglia
59 disorders such as Tourette's syndrome (TS; Worbe *et al.*, 2012), Parkinson's disease (PD;
60 Obeso *et al.*, 2000), addiction (Haber, 2003) and early onset Huntington's disease (HD;
61 Rosenblatt & Leroi, 2000). Whilst relatively expensive compared to rodents, large animals
62 can be housed in a naturalised environment (Morton & Howland, 2013), negating the
63 influence of artificial, sub-optimal conditions that can themselves lead to neural
64 dysregulation (Garner & Mason, 2002). Furthermore the extended life expectancy of the
65 large animals at over 15 years compared to the rodent at approximately 2 years (Levine,
66 1997; Morton & Howland, 2013), is also highly beneficial for modelling slow progression
67 neurodegenerative diseases including PD and HD, but also phasic pathology such as

68 schizophrenia as well as further aiding our understanding of the longitudinal development of
69 TS.

70 One potentially advantageous large animal model of neurological dysfunction that is yet to
71 be explored is the horse (*Equus caballus*). As well as having all of the large animal model
72 advantages previously described, a percentage of horses also consistently develop a
73 hyperdopaminergic state associated with spontaneous stereotypy development (McBride &
74 Hemmings, 2005). These behaviours have morphological and behavioural characteristics
75 that are extremely similar to the spontaneous compulsive/impulsive symptoms of
76 neurological disorders such as TS. It may be extremely useful therefore, to be able to probe
77 equine brain function in order to gain a further understanding of the neurological changes
78 associated with human neurological and psychiatric conditions that manifest stereotypy as a
79 symptom. This can be achieved both invasively (neurophysiological assays) and non-
80 invasively (cognitive battery), but the latter has the advantage of being able to monitor the
81 animal over time within a longitudinal context.

82

83 The horse is able to achieve many of the standard cognitive paradigms used in neurological
84 testing, such as extinction learning tasks (Hemmings *et al.*, 2007; Roberts *et al.*, 2015), the
85 two-choice discrimination reversal task (Hanggi & Ingersoll, 2009), the match-to-sample
86 task (Gabor & Gerken, 2012), and memory tests (Hanggi & Ingersoll, 2009), amongst
87 others (Hanggi, 2003; Hanggi, 2005). Overall these data strongly suggest that the horse
88 may be a highly suitable large animal model of human neurological and psychiatric
89 disorders.

90

91 The primary objective of this study, therefore, was to design and produce a portable, fully-
92 automated operant system (OS) designed for efficient, simple cognitive and neural testing
93 for the horse. In order to validate the OS, a three choice version of the well utilised five
94 choice serial reaction time task in other species (Bari *et al.*, 2008; Parker *et al.*, 2012) was
95 developed and tested using 10 horses.

96

97 **2.0 System Specification and Fabrication**

98 *2.1 System Specification*

99 A number of key aspects for the development of the OS were important. The portability of
100 the OS was crucial, both for short-term use, but also for the potential long-term cognitive
101 testing at different establishments. This required the OS to be light and small enough for
102 ease of transport. It was also critical that the OS was sufficiently robust to protect it from
103 damage under standard usage conditions.

104

105 Ethological relevance of the OS was also considered to ensure the horse would be both
106 capable and motivated to perform the cognitive test. Some evidence indicates that
107 presenting the stimuli at ground level is more suitable for horses (Hall *et al.*, 2003).
108 However, complex cognitive tasks could involve the presentation of picture based stimuli
109 e.g. shapes, which may require greater visual acuity, and therefore the use of the binocular
110 vision (Harman *et al.*, 1999). Binocular vision is suggested to cover approximately 80° to the
111 front of the horse and is typically used when the head is raised, with lateral vision utilised
112 when the head is closer to the ground (Harman *et al.*, 1999). As such, visual acuity may be
113 less clear when the horse is observing at ground level (Hall, 2007), therefore the long term

114 use of the OS for a range of cognitive tests could be compromised should the stimuli be
115 presented at ground level. Additionally, the feed trough was designed to sit below the
116 screens to which small amounts of fibre based concentrate feed were to be deposited.
117 Whilst primarily grazing animals (Hall, 2007), horses can often be observed foraging at
118 wither-to-head level in shrubs and trees (van den Berg *et al.*, 2015), and are similarly fed in
119 the stable environment with a hay net under some management regimes (Ellis *et al.*, 2015).
120 Thus chest height feeding apparatus was deemed suitable in this case. As horses are
121 trickle feeders they do not need to be food deprived prior to the performance of the
122 cognitive task in order to maintain motivation (Parker *et al.*, 2008), increasing the
123 ethological relevance with regards to the release of small amounts of concentrate feed as
124 reward, as well as minimising ethical concerns associated with enforced feed restriction
125 recommended to maintain motivation during the rodent 5-choice serial reaction time task
126 (Bari *et al.*, 2008).

127

128 In addition to the visual stimuli, different auditory cues were utilised to alert the horse as to
129 the imminent display of the visual stimulus, as well as for timeout, correct and incorrect
130 choice selection. Horses are able to recognise the vocal calls of a 'familiar' compared to
131 'stranger' horse (Basile *et al.*, 2009). Additionally, horses have been shown to differentiate
132 between a 'pleasant' soft tone of human voice compared to a 'stern' human voice, altering
133 their behaviour accordingly (Merkies *et al.*, 2013). This would indicate therefore that the
134 horse can identify between different auditory stimuli and use this as a basis to guide
135 behaviour. Furthermore, the use of visual and auditory cues when presented separately has
136 been shown to hold the attention of the horse towards the stimuli (Christensen *et al.*, 2005);
137 a phenomenon which would be of value here to ensure the animal is actively partaking in
138 the cognitive task.

139

140 The removal of human interference on horse performance was also an important aspect of
141 design. The famous case of 'Clever Hans' is an excellent example of the horse being able
142 to utilise subtle human cues to effectively perform the task, despite not actually learning the
143 specific rules (Lambert, 1999). More recent research has also utilised this ability to cue the
144 horse to guide the horse towards the food reward (Lovrovich *et al.*, 2015). It is evident then
145 that even small cues by any human observer could significantly impact horse performance
146 on cognitive tasks, however unknowingly. Thus, during experimentation the role of the
147 human was limited to positioning the horse in front of the OS, maintaining horse and human
148 safety at all times by removing the animal should dangerous behaviours be performed, and
149 the removal of the OS following task completion.

150

151 To ensure the long-term use of the OS, the paradigm logic was processed using Matlab
152 R2014a (Math-works, UK) with the Psychtoolbox (Psychtoolbox.org) addition. With the use
153 of 12bit data acquisition device (DAQ; USB-1208fs, Measurement Computing, Norton,
154 USA) Matlab software relays information from input channels i.e. the infrared sensors
155 towards the output channels. For example, if the Matlab logic determines that a response
156 was correct based on the information of sensor origin in combination with cognitive task
157 coding, then a transistor-transistor logic (TTL) pulse is sent via the DAQ to the feed-dispenser
158 which then releases the reward. In contrast, if an inappropriate sensor is selected, Matlab
159 will interpret this as such and no pulse will be sent and a different outcome will result. This
160 process for the 3-CSRTT can be overviewed in Fig. 1, in line with the horse action.

161

162 2.2 System Fabrication

163 The OS was designed to be fully portable, but also to be mountable within the animal's
164 individual stable (Fig. 2). A previously successful set-up conducted with sheep (McBride *et*
165 *al.*, 2016) was utilised as a basis for the design, though was adapted significantly to
166 address the OS requirements. Visual stimuli were presented utilising a three LCD screen
167 (ePathChina, China) format with partitions between screens. The partitions were important
168 to prevent the horse from moving its head between the three screens, thereby activating
169 multiple infrared sensors (Omron, Nufringen, Germany), but also to ensure the horse made
170 a discrete screen selection. Responses were registered once the infrared beam located
171 above each screen was broken by the horses muzzle making contact with the screen. To
172 account for sensor sensitivity, three positions at 20, 45 and 70mm from the backboard were
173 available at the sensor mounting apparatus, allowing the sensors to be moved depending
174 on the sensitivity required from the task. If the 'correct' response was made by the horse
175 and registered with the breaking of the infrared beam, a TTL signal was sent to the DAQ,
176 which triggered the release of 5g of unbranded horse and pony nuts (Jollyes, UK) from the
177 feed-dispenser. The feed-dispenser design was unchanged from the sheep version
178 (McBride *et al.*, 2016) with the exception of adapting to 6.50mm horse feed. As such, the
179 feed-dispenser was operated from a direct power source (24 V), as opposed to mains
180 electricity. The feed was released into a feed trough, though in contrast to the ovine model,
181 the horse set-up had one trough positioned central to the backboard. For portability
182 purposes, the feed trough was removable during transit and could be repositioned on-site.

183

184 To mount the OS onto a stable door, a brace structure attached to the backboard on-site via
185 a series of boltholes located to the sides of the OS was constructed (Fig. 2). Ten paired

186 boltholes with 12mm spacing allowed the OS to be adapted in height dependent on the size
187 of the horse, where the screens were positioned slightly below eye level (Brubaker & Udell,
188 2016), accounting for the blind spot in directly in front of the horse. The OS, including the
189 feed-dispenser was custom-built (Quality Equipment, Woolpit, UK). To ensure full
190 portability, the OS could run off of mains powered electricity, or via a 12v car battery
191 (Halfords, UK) with the use of an electric inverter (Photonic Universe Ltd, UK). Additionally,
192 the feed-dispenser was designed run off of a chargeable internal battery (McBride *et al.*,
193 2016). The entire operation of the OS was accomplished utilising the TOUGHPAD FZ-G1
194 (Panasonic, UK), which allowed the programming of Matlab, on-site running of the code,
195 and recording outputs. The final weight of the OS was approximately 32kg, and therefore
196 required two humans to safely lift the OS into position on the stable door.

197

198 **3.0 Behavioural Testing**

199 *3.1 Sample Animals*

200 Ten experimentally naïve horses aged 2-17 years, of various breeds and sex (n=5 mares;
201 n=5 geldings) ranging from 12-16.2 hands high (hh) volunteered by private owners were
202 recruited. All animals were fed on a forage based diet (e.g. hay/haylage). No management
203 or feeding regime modifications were implemented, given that feed deprivation is thought to
204 not be required to maintain horses feeding motivation as trickle feeders (Parker *et al.*,
205 2008). The experimental procedure was conducted within the horses' own stable, and the
206 animal was not limited in terms of eye-contact with conspecifics throughout in an attempt to
207 reduce stress

208

209 *3.2 Pavlovian Acclimation*

210 The horse was loosely held by the operator for safety reasons, who was positioned on the
211 right and side of the device though in such a manner as to be unaware of any screen
212 presentations, but also to initiate the software. The operator initiated the Pavlovian
213 Acclimation stage of training via the TOUGH PAD. All three LCD screens remained black,
214 with 5g feed released into the trough every 30 seconds for 15 trials. Following the final trial,
215 a long low-pitched auditory tone (AT; 260Hz sinusoidal wave form, 1.9s) was given to
216 signify the end of the session.

217

218 *3.3 Pre-Training 1*

219 The purpose of Pre-Training 1 (PT1) was to expose and condition the animal to associate
220 both the audio conditioned stimulus (CS1; 400Hz sinusoidal wave form, 0.15s) and visual
221 conditioned stimulus (CS2; a plain white screen) with feed reward. The session commenced
222 with the concurrent presentation of CS1 and CS2 on all three screens. If an operant
223 response was conducted on any of the three screens within a 30 second time-limit, 5g of
224 feed was immediately released. If no operant response was sensed, feed was released
225 after 30 seconds. Following feed release, CS2 was removed to provide black screens only
226 for a 15 second inter-trial-interval (ITI) to allow the animal to consume the feed prior to the
227 initiation of the next trial. As with all sessions, a total of 15 trials was utilised. An AT to
228 signify the end of the session was presented after the final trial. Learning criterion to
229 proceed to Pre-Training 2 was set at one session with at least a 50% response rate towards
230 CS2.

231

232 3.4 Pre-Training 2

233 Pre-Training Stage 2 (PT2) was designed to further encourage an operant response from
234 the animal, and extend trial and error learning initiated during PT1. Similar to PT1, PT2 was
235 initiated by the simultaneous presentation of CS1 and CS2 on all three screens. CS2 was
236 presented for 30 seconds, however if zero operant responses were performed during this
237 time, the 15 second ITI commenced with no feed release. If an operant response was
238 sensed on any of the three screens, 5g of feed was immediately released from the feed
239 hopper followed by the 15 second ITI. Following the final trial, the end of the session was
240 signalled by AT presentation. Learning criterion to proceed onto Pre-Training 3 was set at
241 one session with a minimum of 50% response rate.

242

243 3.5 Pre-Training 3

244 The purpose of Pre-Training 3 (PT3) was to introduce the horse to CS2 on one screen, and
245 to encourage the horse to respond only towards this screen. To initiate the session, CS1 is
246 given concurrently with CS2 on all three screens. The horse was required to select any one
247 of the three screens to achieve a feed reward and commence the remainder of the session.
248 Following a 15 second ITI, CS1 was once more presented simultaneously with CS2, though
249 importantly CS2 occurred on only one of the three screens in a pseudorandom order. Each
250 screen presented CS2 five times. To continue onto the next trial within the session, the
251 horse was required to select the screen displaying CS2. There was no time-limit to perform
252 this operant response. Once an operant response was conducted on the correct screen, 5g
253 of feed was released and the 15 second ITI was initiated prior to the next trial within the
254 sequence. To complete the session, the animal was required to select the correct screen for

255 each of the 15 trials. The end of the session was signified by the AT. Every horse was
256 required to perform three sessions of PT3 before commencing Pre-Training 4a.

257

258 *3.6 Pre-Training 4a*

259 The aims of Pre-Training 4a (PT4a) were two-fold:- first, to introduce the consequences of
260 error to the horse; and second, to introduce a time-limit within which an operant response
261 was required. As with PT3, the session initiation was highlighted with the presentation of
262 CS1 and CS2 on all three screens concurrently. To initiate the session, the horse was
263 required to perform an operant response on any of the three screens to achieve a feed
264 reward and initiate the 15 second ITI. No time-limit was given to perform this initial operant
265 response. Following the 15 second ITI, both CS1 and CS2 were presented, with CS2
266 occurring on only one screen in a pseudorandom order, each screen displaying CS2 five
267 times within the session. During PT4a, an incorrect operant response (error of commission)
268 resulted in a short high-pitched incorrect auditory tone (IAT; 1000Hz sinusoidal wave form,
269 0.5s) and immediate ITI commencement with no feed reward attainment. CS2 during PT4a
270 was only displayed for 20 seconds, with an additional 5 second black screened limited hold
271 (LH), allowing a maximum 25 seconds for the horse to perform an operant response. If no
272 operant response was performed during this time (error of omission), a time-out auditory
273 tone (TOAT; 2250Hz sinusoidal wave form, 0.3s) was produced, and the ITI commenced
274 with no feed reward attainment. Should the horse perform an operant response on the
275 correct screen, immediate 5g of feed delivery and ITI commencement was the result. The
276 end of the session was highlighted by the AT. To proceed to Pre-Training 4b, the horse was
277 required to perform two consecutive sessions of PT4a with a minimum 80% accuracy,
278 inclusive of omitted responses. The probability of such events occurring by chance was

279 calculated at $p = 5.21^{-8}$ utilising a binomial probability calculation. Any compulsive choices
280 (i.e. those made during the ITI) were recorded.

281

282 *3.7 Pre-Training 4b*

283 Pre-Training 4b (PT4b) was conducted in the same manner as PT4a, except that the time-
284 limit for which to perform the operant response was reduced. During PT4b, CS2 was
285 presented for 10 seconds, with a 5 second LH. Thus the time allowed to perform an operant
286 response during PT4b was reduced to 15 seconds. The learning criterion to proceed to Pre-
287 Training 4c was set at two consecutive sessions of PT4b with a minimum 80% accuracy (p
288 = 5.21^{-8}) inclusive of omitted responses. Once more compulsive choices were monitored.

289

290 *3.8 Pre-Training 4c*

291 Pre-Training 4c (PT4c) was a further variation of PT4a and PT4b, with the aim to reduce the
292 response rate to its final duration. For PT4c, CS2 was presented for 5 seconds, followed by
293 a 5 second LH before time-out. The horse therefore had a total of 10 seconds to complete
294 an operant response. To proceed onto Task Training, the horse was required to achieve
295 learning criterion of two consecutive sessions with a minimum 80% accuracy ($p = 5.21^{-8}$)
296 inclusive of omitted responses. Compulsive responses were monitored though unpunished.

297

298 *3.9 Task Training*

299 Task Training (TT) was utilised for the introduction of the pre-stimulus interval (PSI), during
300 which impulsive choices towards screens could be conducted. The session was initiated as

301 per PT4c. Following the ITI, CS1 was presented, with CS2 being displayed 5 seconds later
302 on one screen in a pseudorandom order for 5 seconds, with a 5 second LH. Each screen
303 displayed CS2 five times throughout the session. If an operant response was performed
304 during the 5 second PSI this was counted as an impulsive error, resulting in IAT, zero feed
305 attainment and immediate cessation of that trial, with the commencement of the ITI. If no
306 impulsive choice was made, the trial continued. Correct choices within the time-limit were
307 reinforced with 5g of feed, incorrect responses were highlighted with IAT followed
308 immediately by the ITI. Should no response be made within the time-limit, this resulted in
309 TOAT followed by the ITI. Learning criterion was set at two consecutive TT with a minimum
310 80% accuracy ($p = 5.21^{-8}$) inclusive of omitted and impulsive responses. Compulsive
311 responses were monitored though unpunished. Should the horse not achieve learning
312 criterion within a maximum of ten sessions, this was noted training ceased. During any
313 phase, should the horse cease responding sessions for that day were halted, and repeated
314 the following day. This was not however an issue in this current study. Should overall
315 learning criterion not be met, then this would demonstrate that ten sessions is not sufficient
316 for the horse to learn the 3-CSRTT and adaptations required to be made. Day criterion were
317 also set in an attempt to standardise overnight learning consolidation. Day 1 training ended
318 following two PT4a sessions, Day 2 ceased following two TT sessions, and Day 3 training
319 concluded once learning criterion had been met, followed by six probe sessions.

320

321 *3.10 Analysis*

322 To determine the success of the OS and its corresponding coding a number of
323 measurements were taken, including sessions to criterion, session duration, latency of
324 approach and compulsive/impulsive responses where appropriate. From these data, the

325 mean duration, sessions and days required to meet overall and individual task phase
326 learning criteria could be calculated. To examine whether post-criterion performance were
327 stable, data were subject to a repeated measures ANOVA. These data will then provide a
328 standardised procedure for application of the equine 3-CSRTT.

329

330 **4.0 Results**

331 All animals successfully attained learning criterion (Table 1). The total number of sessions
332 (mean±SEM) required to achieve learning criterion was 16.30±0.79 over three consecutive
333 days. On Day 1 8.00±0.00 (mean ±SEM) sessions were completed, compared to 7.40±0.74
334 sessions on Day 2 and 0.90±0.36 training sessions on Day 3. Fewer sessions were
335 required on Day 3, due to a number of horses already achieving total learning criterion on
336 Day 2. On Day 3, the six probe sessions were also completed (Table 2).

337

338 The one-way repeated measures ANOVA determined that there was no significant
339 difference in percentage accuracy of performance ($F(5, 45) = 0.164, p=0.974$) over the six
340 probe sessions. No other significant differences were observed for the remaining
341 parameters (Table 2).

342

343 **5.0 Discussion**

344 In this paper we have introduced a fully portable OS for horses. The design of the OS
345 enabled ease of set up within the horses' stable by a lone operator. Whilst a 3-CSRTT is
346 tested here, the use of Matlab programming demonstrates that the OS could simply

347 adapted to conduct a series of other operant tests, for example stop-signal reaction time
348 tasks, paired associates learning etc. subject to suitable coding development. Such
349 adaptations of the OS provided here could therefore provide enhanced understanding of a
350 variety of neural circuits in the horse for human comparison.

351

352 Horses required a total of 16.30 ± 0.79 sessions to attain learning criterion. Stable
353 performance was maintained at $80.67 \pm 0.57\%$ (mean \pm SEM) accuracy during the six probe
354 trials. Additional non-significant differences over the six probe sessions for all parameters
355 (Table 2) also indicated that the animals had efficaciously learned the task, and reached
356 steady state performance. The success rate to achieve learning criterion was excellent at
357 100%. This indicates that the horse successfully learned the 3-CSRTT and would therefore
358 provide a suitable complementary model for investigating attentional, impulsive and
359 compulsive responding; behaviours synonymous with stereotypy performance. Such
360 parameters may prove useful in the continuing understanding of TS. Indeed, horses which
361 perform the oral stereotypy crib-biting demonstrate a tendency to conduct impulsive
362 decisions in a reinforcer choice procedure (Parker *et al.*, 2008), and also demonstrate
363 apparent lack of sensitivity to extinction learning (Hemmings *et al.*, 2007; Roberts *et al.*,
364 2015). This behavioural manifestation is thought to arise from midbrain dopaminergic
365 dysfunction (McBride & Hemmings, 2005). Thus, crib-biting horses may have an
366 impulsive/compulsive phenotype similar to TS, highlighting the advantage of using the
367 horse as an improved large animal model. Further application could apply to other
368 neurological disorders as the horse also spontaneously develop the degenerative disorder
369 pituitary pars intermedia dysfunction (PPID; Gehlen *et al.*, 2014) which may provide an
370 interesting model for human neurodegenerative disease such as PD.

371

372 Rodent models require approximately 25 sessions to reach learning criterion; though it is of
373 note that rodent models perform a five choice paradigm, and also employ 0.5s stimulus
374 duration, thus whilst not directly comparable demonstrates the potential of our equine OS
375 for further validation. Additionally, rodent sessions comprise of significantly more trials per
376 session; 100 compared to the 15 utilised for the horse here (Bari *et al.*, 2008; Winstanley *et*
377 *al.*, 2008). The decision to utilise a lower 15 trials/session for the horse was based largely
378 on previous sheep operant training (McBride *et al.*, 2016), given that both equine and ovine
379 are quadruped ungulate species. Furthermore, previous pilot study in our laboratory
380 indicated that sessions of 45 trials resulted in a decrease in motivation to perform the task;
381 a finding not observed during shorter sessions trialled here. Also of note is that in rodent
382 (Bari *et al.*, 2008; Winstanley *et al.*, 2008) and fish (Parker *et al.*, 2012) versions, each
383 animal only performs one session per day. This may well result from the larger number of
384 trials per session utilised for these animals. Due to the stable motivation and responding of
385 the horses during both this study and earlier pilot work, it was apparent that the horse was
386 capable of performing a much larger number of sessions per day. Whilst this may seem
387 large in comparison to rodent and fish work, this essentially brings the number of individual
388 trials performed in line with the recommended rodent procedure (Bari *et al.*, 2008).
389 Additionally horses are known trickle feeders, having evolved as a grazing and browsing
390 animal, and at pasture horses can graze for up to 18 hours a day (Thorne *et al.*, 2005).
391 Thus achieving a small amount of fibrous concentrate feed repeatedly could be construed
392 as ethologically relevant in the context of natural horse feeding behaviours. It is possibly
393 because of this elevated feeding capacity and grazing type behaviour evolved by horses
394 that these animals here were able to continue for a greater number of sessions daily as

395 opposed to rodent and fish equivalents. A similar reason could also account for the
396 maintained motivation also observed by the horses in this study.

397

398 Each horse was required to reach a specific level of learning in terms of learning criterion
399 achieved per day as opposed to a specific number of sessions. The primary reason for this
400 was as an attempt to standardise the level of learning and memory consolidation which was
401 likely to occur overnight (Williams *et al.*, 2008) through paradoxical sleep processes
402 (Pederson *et al.*, 2004; Marshall & Born, 2007; Diekelmann & Born, 2010). Given that the
403 motivation to perform the task remained high, limiting the number of sessions per day could
404 potentially be detrimental to learning and task performance parameters by increasing
405 frustration around the OS due to premature removal, in line with anticipation frustration
406 theory (Amsel, 1992). However, standardisation of such tasks is important to stabilise
407 learning and ensure the same training regime for each individual. As such, the protocol
408 suggested here provides a standardised training regime for the 3-CSRTT by ensuring every
409 horse is reaching a specific level of performance each day. This also allows additional
410 learning parameters to be measured, for example number of sessions required to reach
411 learning criterion per stage, but also through number of sessions required to be performed
412 daily to attain these. Such parameters may well provide important information when
413 investigating differences between behavioural phenotypes.

414

415 The design of the OS allows for supplementary adaptations to be made to our baseline
416 code for the 3-CSRTT. For example, it would be interesting to determine whether
417 shortening the reaction time and limited hold duration to that more in line with the rodent
418 work (Bari *et al.*, 2008) would influence the attentional or impulsive/compulsive responding

419 of the horse. Additionally, rodent and fish models recommend a variety of adaptations to
420 further probe the neural circuitry. Such examples include the use of a variable ITI (both long
421 and short), adjusting the brightness of the visual stimuli, and the introduction of a distracting
422 noise (Bari *et al.*, 2008). Indeed, the further development of the equine OS is ongoing in our
423 laboratory, leading to enhanced adaptability of our OS.

424

425 **6.0 Conclusion**

426 Here a fully automated OS allowing the portable cognitive testing has been developed and
427 successfully tested with a protocol produced to allow standardised replication of the 3-
428 CSRTT. Total learning criterion was achieved by 100% horses over three days, in
429 16.30 ± 0.79 sessions. The OS developed here has excellent potential for further application
430 as a result of flexible design and use of Matlab programming, for both 3-CSRTT but also for
431 a variety of other cognitive tests following suitable coding and testing. As such, the
432 application of this OS could initiate the utilisation of the horse as a suitable large animal
433 model for the examination of dopaminergic conditions, including TS in a natural setting.

434

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438

439 **References**

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Session	Training Day	Sessions Required	Session Duration (s)	Compulsive Responses	Impulsive Response (%)
PA	1	1.00±0.00	497.94±0.03	-	-
PT1	1	1.00±0.00	477.85±22.47	-	-
PT2	1	1.00±0.00	446.68±18.82	-	-
PT3	1	3.00±0.00	520.12±40.12	-	-
PT4a	2	3.20±0.70	426±19.56	2.77±0.91	-
PT4b	2	2.20±0.13	378.83±11.88	2.02±0.78	-
PT4c	2/3	2.00±0.00	349.69±6.56	2.65±1.18	-
TT	3	2.90±0.38	411.14±6.35	4.73±1.35	5.77±1.62

Table 1. Sessions and corresponding parameters required to achieve learning criterion (mean±SEM)

Table 2. Parameters recorded from the six probe sessions (mean±SEM). NB – all *p* values are obtained from repeated measures ANOVA. ^a denotes sphericity was not violated, ^b denotes sphericity was violated and Greenhouse-Geisser *p* value was used

Parameter	1	2	3	4	5	6	<i>p</i> value
Duration (s)	418.86±10.25	404.74±7.24	415.14±6.67	410.44±7.67	411.96±9.71	415.23±9.24	0.278 ^a
Response Latency (s)	3.71±0.45	3.30±0.30	3.63±0.32	3.40±0.37	3.16±0.37	3.63±0.42	0.326 ^b
Accuracy (%)	80.00±4.77	79.33±3.78	82.67±4.24	80.67±3.51	79.33±7.98	82.00±4.22	0.974 ^a
Commission Errors (%)	6.00±1.85	9.33±3.47	6.67±2.43	12.00±2.77	6.67±2.63	8.00±1.94	0.400 ^b
Impulsive Responses (%)	8.67±2.44	7.34±3.36	3.34±1.11	3.34±0.37	4.00±1.47	2.00±1.02	0.133 ^b
Impulsive Response Latency (s)	2.63±0.43	3.50±0.46	2.38±0.53	2.84±0.46	3.02±0.27	1.87±0.50	0.241 ^b
Compulsive Responses	3.80±0.68	3.10±0.82	2.30±0.78	4.40±1.11	2.90±0.85	2.30±0.79	0.314 ^a
Compulsive Response Latency (s)	6.33±1.07	4.47±1.18	6.19±1.12	6.05±1.15	6.80±1.74	7.04±1.50	0.677 ^b
Omission (%)	6.00±3.64	4.00±3.33	7.33±3.06	4.00±2.27	10.00±7.32	8.00±4.53	0.427 ^b

Figure

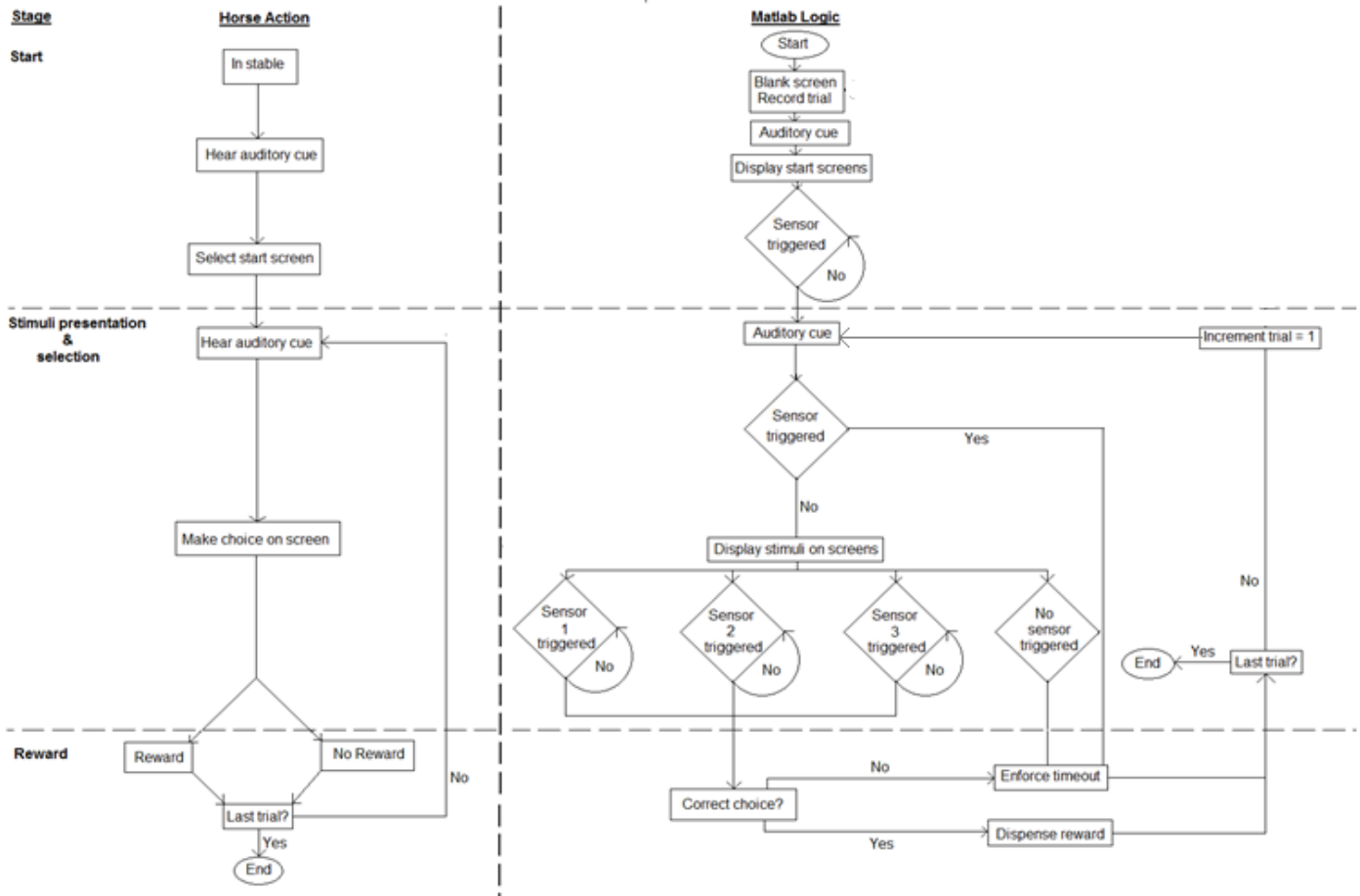


Figure 1. The Matlab logic in relation to horse action during the 3-CSRTT (adapted from McBride *et al.*, 2016)

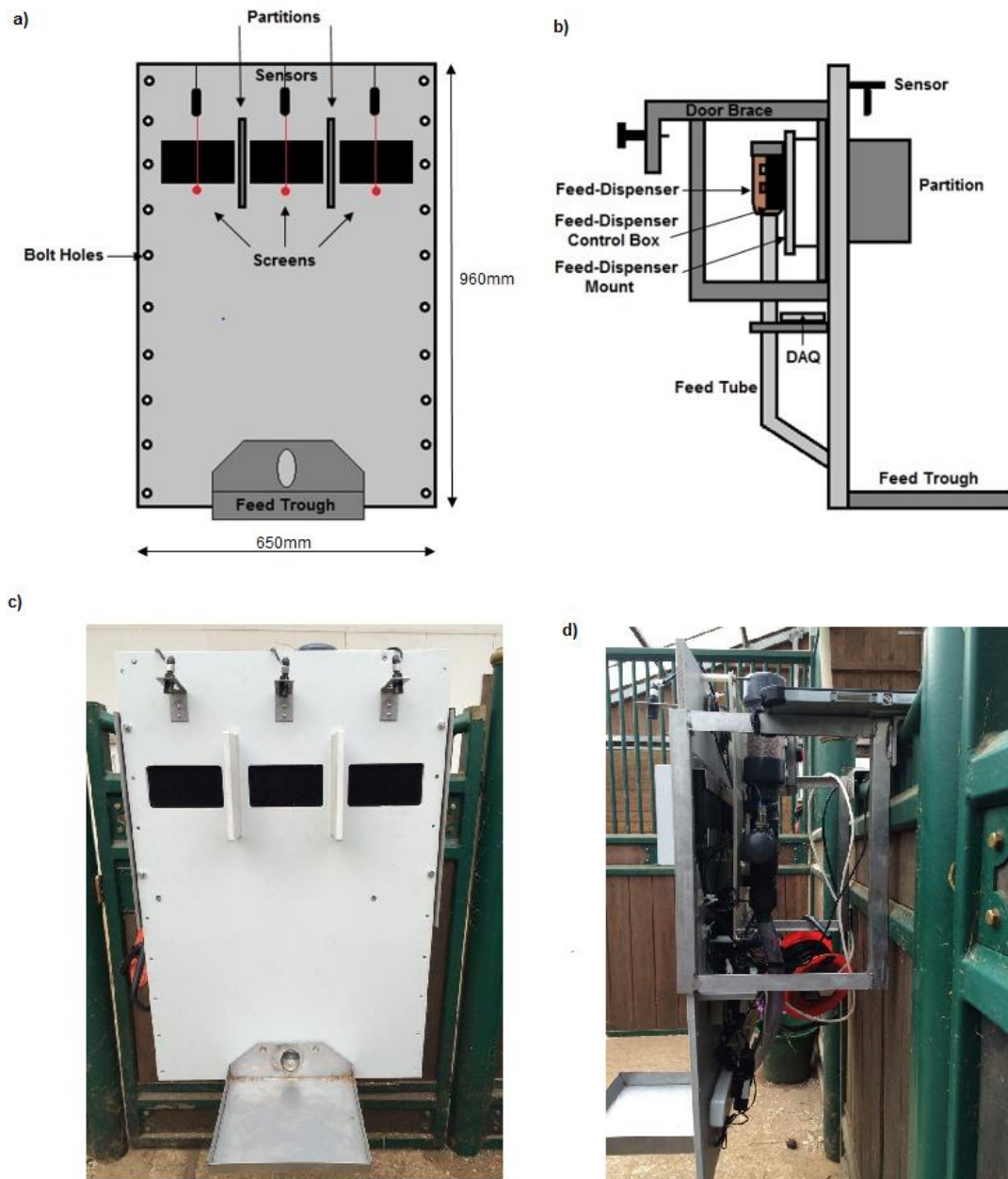


Figure 2. The set-up for the equine OS. a) and b) are the diagrammatic specification of the front and side of the OS respectively, whilst c) and d) demonstrate the front and side view of the OS as presented to the horse within the stable. The OS operator stands to the right, next to the door braces, ensuring no view of the screens.



Plate 1. Performing the 3-CSRTT. NB image for demonstration purposes only, under experimental conditions the human is located to the immediate right hand side of the OS as to be unaware of CS presentations.