Mapping soil pollution by using drone image recognition and 1

machine learning at an arsenic-contaminated agricultural field 2

3 Xiyue Jia^{1,#}, Yining Cao^{1,2,#}, David O'Connor³, Jin Zhu¹, Daniel C.W. Tsang⁴, Bin Zou⁵,

4 Devi Hou^{1,*}

5 ¹ School of Environment, Tsinghua University, Beijing 100084, China;

- 6 ² School of Information, University of Michigan, Ann Arbor 48104, United States;
- 7 ³ School of Real Estate and Land Management, Royal Agricultural University, Cirencester, GL7 1RS, United 8
- Kingdom
- 9 ⁴ Department of Civil and Environmental Engineering, The Hong Kong Polytechnic University, Hung Hom,
- 10 Kowloon, Hong Kong, China
- 11 ⁵ School of Geosciences and Info-Physics, Central South University, Changsha, Hunan, China
- 12 [#]The authors contributed equally to the paper
- 13 *corresponding author (houdeyi@tsinghua.edu.cn)
- 14 Abstract

15 Mapping soil contamination enables the delineation of areas where protection measures 16 are needed. Traditional soil sampling on a grid pattern followed by chemical analysis and 17 geostatistical interpolation methods (GIMs), such as Kriging interpolation, can be costly, 18 slow and not well-suited to highly heterogeneous soil environments. Here we propose a 19 novel method to map soil contamination by combining high-resolution aerial imaging 20 (HRAI) with machine learning algorithms. To support model establishment and validation, 21 1068 soil samples were collected from an arsenic (As) contaminated area in Zhongxiang, 22 Hubei province, China. The average arsenic concentration was 39.88 mg/kg (SD = 213.70 23 mg/kg), with individual sample points determined as low risk (66.9%), medium risk (29.4%), 24 or high risk (3.7%), respectively. Then, identified features were extracted from a HRAI 25 image of the study area. Four machine learning algorithms were developed to predict As risk levels, including (i) support vector machine (SVM), (ii) multi-layer perceptron (MLP), 26 27 (iii) random forest (RF), and (iii) extreme random forest (ERF). Among these, we found 28 that the ERF algorithm performed best overall and that its prediction performance was 29 generally better than that of traditional Kriging interpolation. The accuracy of ERF in test 30 area 1 reached 0.87, performing better than RF (0.81), MLP (0.78) and SVM (0.77). The

F1-score of ERF for discerning high-risk points in test area 1 was as high as 0.8. The complexity of the distribution of points with different risk levels was a decisive factor in model prediction ability. Identified features in the study area associated with fertilizer factories had the most important contribution to the ERF model. This study demonstrates that HRAI combined with machine learning has good potential to predict As soil risk levels.

36 Keywords: Arsenic contamination; soil pollution; HRAI; remote sensing; machine learning

37 Capsule: Use drone image recognition and machine learning to map soil pollution
 38 distribution at an arsenic-contaminated agricultural field

39 1 Introduction

40 Arsenic (As) is a toxic heavy metalloid (Hughes, 2002) that is often found in soil 41 environments originating from naturally occurring lithogenic processes or stemming from 42 anthropogenic activities such as mining and fertilizer manufacturing (González-Fernández 43 et al., 2017; Kříbek et al., 2010; Li et al., 2017). When As is enriched in agricultural soils, 44 it not only threatens food security due to its phytotoxicity, but also endangers food safety 45 due to its bioaccumulation in crops (Cui et al., 2018; Rauf et al., 2015). Moreover, As can 46 transport from soil to groundwater or surface watercourses, thus contaminating drinking 47 water supplies and the wider natural environment (Li et al., 2017). Therefore, elevated soil 48 As hinders the achievement of sustainable agriculture (Hou et al., 2020).

Mapping soil As is crucial to provide policy-makers with evidence-based scientific support for developing adequate soil protection measures (Hou and Ok, 2019). The effectiveness and sustainability of remediation strategies that are applied to decontaminate affected soils, such as immobilization, soil washing and phytoremediation also rely on accurate estimations of soil As distributions (Beiyuan et al., 2017; Hou, 2019; Li et al., 2017; Wei et al., 2019).

55 Conventional soil mapping involves physically gathering soil samples in a grid pattern and 56 transporting the soil to a laboratory for further chemical analysis (Martinez-Villegas et al., 57 2018; Signes-Pastor et al., 2016). After determining the soil As levels, geostatistical 58 interpolation methods (GIMs), such as kriging interpolation, could be applied in order to 59 predict contaminant concentrations at unsampled points (Hou et al., 2017). This enables risk assessments to be performed to identify and delineate areas associated withenvironmental risks that need to be properly managed.

62 The establishment of traditional GIMs mainly bases on the first law of geography, namely 63 spatial autocorrelation, which assumes that the attribute values of near observations are 64 more related than that of distant observations (Dubin, 1992). In addition, GIMs were 65 initially developed to calculate the distribution of minerals, which are much more abundant 66 than pollutants in soil. Issues arise with the conventional approach because As levels are 67 typically trace and highly heterogeneous, therefore, high density sampling grid patterns 68 are required to achieve adequate mapping accuracy (Liu et al., 2016). This is often not 69 economically viable, especially when large spatial areas need to be covered, i.e., regional 70 soil mapping. Consequently, traditional GIMs are not well-suited to mapping highly 71 heterogeneous soil sample data (Zhang et al., 2018a).

Therefore, the development of detection technologies that enable rapid low-cost highresolution mapping of soil contaminants is highly advantageous for soil mapping. For this reason, *in situ* sensing technologies, such as portable handheld X-ray fluorescence (XRF) and remote satellite-based visible-infrared spectroscopy (VIRS), have been the subject of increased research attention (Al Maliki et al., 2017; Chakraborty et al., 2017). Until now, however, predicting soil As levels based on High Resolution Aerial Imaging (HRAI) has not been reported.

HRAI is a technique that involves the use of aircraft mounted cameras to capture large
area images with high spatial resolution, typically 0.1~0.5 m. The United Kingdom, for
example, has been capturing HRAI images for more than 15 years at sites that are up to
hundreds of km² in size (Defra, 2020).

83 For the current study, we hypothesized that various features related to soil As levels would 84 be embedded within HRAI images. Firstly, it is found that RGB has the potential to present 85 spectral information in previous studies (Smits, 1999). The concentration of arsenic exhibits significant correlations with the reflectance at several wavelengths (e.g., ~428 nm 86 87 and ~1290 nm) due to the interactions between As and soil components such as iron 88 oxides and organic matters (Chakraborty et al., 2017). The values of RGB and the indices 89 derived from them may have the ability to predict soil arsenic contamination. Secondly, 90 the locations of pollution sources, such as fertilizer factories, are highly significant on contaminant distributions (Fayiga and Saha, 2016; Zhang et al., 2018b). The effects of
soil contaminants on vegetation may also mean that certain image features can potentially
be extracted for contaminant prediction (Shi et al., 2014; Wu et al., 2007). Consequently,
HRAI images may contain valuable information that can be extracted to enable the
prediction of As concentrations in soil. The extracted information, however, would be in a
complicated form, thus requiring the use of machine learning algorisms to make accurate
predictions of soil contaminant levels.

98 This study develops a novel modelling approach to predict soil As levels from HRAI images. 99 The main objectives of this study are: 1) to develop reliable approaches to quantify the 100 required features and extract them from HRAI; 2) to construct models based on different 101 machine learning algorithms and compare their prediction performance; 3) to explore the 102 factors influencing the model performance; and 4) to illustrate its feasibility by comparing 103 the performance of this method to traditional GIMs.

104 2 Methodology

105 An overview of the three-layer model that was developed for predicting soil As risk levels 106 is exhibited in Fig. 1. In the first layer, the detailed soil contamination information and the 107 HRAI were obtained. In the second layer, the image was decomposed into pixels, and the 108 features of pixels representing the sample points were extracted. The features could be 109 classified into three types: 1) the value of R, G, B and the index composed by them; 2) the 110 distances and gradient of pixels to the surface objective, including vegetation, rivers, and 111 factories; 3) the distance and gradient of pixels to the specific factory function areas, such 112 as industrial waste storage areas. Arsenic contamination risk levels of the sampling points 113 were identified as dependent variables. In the third layer, several models, including 114 random forest (RF), extreme random forest (ERF), support vector machines (SVM) and 115 multi-layer perceptron (MLP), have been trained with the obtained features, and the model performance was evaluated. The methods involved in each aspect are presented in the 116 117 sub-sections below.

118



Fig. 1. Schematic diagram of the proposed three-layer model developed for predicting soil
 As risk levels

123 **2.1 Input**

124 2.1.1 Soil data

125 The study area is located in Zhongxiang, Hubei in southern China (Fig. 2). The climate is 126 subtropical monsoon with a mean annual temperature of 15.9 °C and a mean annual 127 precipitation of 942.9 mm (Guo et al., 2010). The mean annual wind speed is 3.3 m/s and 128 the prevailing wind direction is South to North throughout the year. Duing the pre-Sinian 129 system (2.1 billion years ago), this area is the ancient sea. At the end of the Silurian system 130 (about 400 million years ago), it was uplifted into land due to the Caledonian movement 131 and became a part of Dahong Mountain. In the Cenozoic, the Himalayan movement led 132 to differential ups and downs and fractures, resulting in the formation of a Huaiyangshan-133 shaped structural system and the Neo-Cathaysia structural system, with the geological 134 characteristics of an anticline and small faults in folds. The stratum is fully exposed from 135 the Proterozoic to the Cenozoic, and only the Jurassic of the Mesozoic is missing. Its composition is mainly Quaternary clay, yellow-green shale slate, quartzite, dolomite, 136

137purple sand shale, variegated sandstone, etc., and there are Quaternary valley alluvial138and lacustrine layers. The maximum thickness is $7164 \sim 10266$ m. The main parent139materials are limestone, shale, red sandstone, apatite, and conglomerate (Figure S1).140Among them, paddy soil and fluvo-aquic soil in the plain accounted for 96.18% of the total141cultivated land area, while the soil layer of the mountainous hills accounted only for about1423.82% of the cultivated land area in the city.

143 The main agricultural produce of this region is rice, along with wheat, rapeseed and corn. 144 Natural phosphate deposits are locally abundant, accounting for one-sixth of phosphate 145 reserves in China. The local phosphorus fertilizer manufacturing output is ~6 million metric 146 tons per year. Therefore, the phosphate chemical factories have been established since 147 1958 and have experienced rapid development since 2005. The existing phosphate 148 mining capacity is 6 million t/year, and the total production capacity of compound fertilizer 149 is 7 million tons (Chen, 2011). Both factories (Figure 2) in the investigated area are 150 phosphate chemical factories. Factory 1 was established in 2002 with an annual 151 production capacity of 3.6 million tons. The annual phosphate mining capacity of Factory 152 2 was 500 thousand tons. Intensive phosphate mining and production activities have 153 caused serious heavy metal contamination in soil and water, posing potential threats to 154 both human health and the environment.

155





157 Fig. 2. Study area

158 A total of 1068 agricultural soil samples were collected. Sample locations were based on 159 an 80 m regular grid, which was reduced to 40 m around two phosphate fertilizer factories 160 (Fig. 2). Sample locations were confirmed by GPS in the field. At each sampling location, 161 three to five surface soil samples were combined to provide one representative aggregate 162 sample. After removing large debris and stones, the obtained samples were air-dried for 163 one week at ambient temperature and then sieved (< 2 mm). The processed samples 164 were stored in amber glass jars in a temperature-controlled environment (4 °C) prior to 165 analysis.

166 Soil pH was determined at a solid-to-liquid ratio of 1:5 by a pH meter based on ISO 167 10390:2005. Soil As concentrations were analysed in accordance with China Standard HJ 168 766-2015. Briefly, samples were ground and sieved (< 0.25 mm). After that, 0.2 g soil was 169 microwave digested in a mixed acid solution of 1 ml hydrofluoric acid (HF), 4 ml nitric acid 170 (HNO₃), 1 ml hydrochloric acid (HCl), and 1 ml hydrogen peroxide (H₂O₂). The obtained 171 solution was analysed for As by ICP-MS and the soil concentration was calculated. The 172 As concentrations and soil pH are shown in Table 1. The standard reference materials 173 and blank samples were set to verify the precision and accuracy of the chemical analyses 174 in this study. The recovery of standard reference satisfied the criterion set by China

175 Standard HJ 766-2015, and detailed information is presented in Table S1 (Supplementary

176 Material).

	$\Delta s (ma/ka)$	nH
Ma.a.a	A3 (IIIg/Kg)	
Mean	39.88	6.92
Standard deviation of the mean	213.70	0.89
Min	0.00	4.37
25 th percentile	16.00	6.28
Median	20.10	7.34
75 th percentile	25.50	7.58
Max	6402.00	10.23

177 **Table 1.** Soil As and pH data based on the analysis of 1068 soil samples

178

179 2.1.2 Soil risk level

180 Risk assessment was performed according to the Chinese soil environmental quality risk 181 control standard (GB 15618-2018). According to this standard, if an appropriate risk 182 screening value (RSV) threshold is not exceeded, then no risk management measures 183 are required (i.e., low risk); if the RSV is exceeded but the risk intervention value (RIV) 184 threshold is not exceeded then risk management and control measures are required, for 185 example crop adjustment (i.e., medium risk); if the RIV threshold is exceeded, then soil 186 remediation is required (i.e., high risk). The RSVs and RIVs for As contaminated paddy 187 soil, which are dependent on the soil pH level, are listed in Table 2.

Table 2. Risk screening value (RSVs) and risk intervention value (RSVs) for As in paddy soils
 depending on the soil pH value (GB 15618-2018)

Paddy soil pH level	RSV (mg/kg)	RIV (mg/kg)
pH≤5.5	30	200
5.5< pH≤6.5	30	150
6.5< pH≤7.5	25	120
pH≥7.5	20	100

The average As concentration of all the soil samples in the study area was 39.88 mg/kg (Table 1), exceeding the RSV (25 mg/kg) for the average pH level (6.92), representing a medium risk. However, the standard deviation of the mean was quite large (212.91 mg/kg), signifying that there was a high level of variance in As concentrations across the study area. Figure 3 (a) and (b) indicate that both the distributions of pH level and As concentration were skew. The assessed risk level for each soil sampling point is illustrated in Figure 3. Of the 1068 sample points, most were assessed as low risk (n=714; 66.9%) or medium risk (n=314; 29.4%), and a relatively small number were assessed as high risk
(n=39; 3.7%).

199



200

Fig. 3. Histogram of (a) pH, and (b) arsenic contamination, and (c) the distribution of assessed risk levels.

203 2.2 Feature extraction

A HRAI image of the study site with a spatial resolution of 0.4 m was obtained. The cloud cover was 0% when the image was obtained, and geometric correction has been conducted by Envi 10.5. The image was then matched to the geodetic coordinate system of the sampling points using ArcGIS 10.5 (Esri, UK). The image pixels were assigned relative coordinates and red-green-blue (RGB) bands and derivative features were extracted using Python (Python Software Foundation, USA) with the Geospatial Data Abstraction Library (GDAL; Open Source Geospatial Foundation, USA). Features, such as maximum and minimum band values, quotients of two bands (e.g. G/B and B/G), and several indices were calculated, including the brightness index, redness index, and coloration index, were calculated. Band values for surrounding pixels were extracted and the mean, standard deviation, and gradients calculated.

The locations of identified components in the HRAI image (e.g. rivers, vegetation and factories) were marked. Then, the distance and gradients between sampling points and labelled components were calculated through the following functions (Eq. 1-3):

218 Distance =
$$\sqrt{(x_i - x_t)^2 + (y_i - y_t)^2}$$
 (1)

219 Gradent_x =
$$\frac{x_i - x_t}{\min Distance}$$
 (2)

220 Gradient_y =
$$\frac{y_i - y_t}{\min Distance}$$
 (3)

where x_i and y_i are the coordinates of point *i*, x_t and y_t are the coordinates of an identified component, respectively.

It was evident that samples collected close to the two fertilizer factories were associated with elevated risk levels (Fig. 3), suggesting that these factories were key sources of As pollution. Moreover, higher As levels tended to be distributed to the north of the factories. Identifiable point sources from which pollutants might be discharged from the factories were marked, including the buildings (CF), open ground (SD), chemical storage areas (WR), and lagoons (LZ). The distances and gradients of sampling points to the nearest point source were also calculated with the above functions.

230 2.3 Predicting

The obtained soil samples were divided into three groups, namely the whole study area (WSA; 1068 sample points), as well as two smaller zones within the whole study area denoted as test area 1 (TR1; 361 sample points), and test area 2 (TR2; 335 sample points) (Figure 2). In each group, 50% of the sample points were split out randomly as the training 235 data set and the remaining 50% were reserved for later use for validation. The prediction 236 classifiers were trained and established with each of the following classification algorithms: 237 (i) support vector machine (SVM), (ii) multi-layer perceptron, (iii) random forest, and (iv) 238 extreme random forest (Gualtieri and Cromp, 1999; Hu and Weng, 2009; Pal, 2005). The 239 last of these algorithms is also known as extra tree classifier and is a variation of RF with 240 decreased variance and increased bias. Thus, ERF is associated with increased 241 randomization with better classification accuracy (Khanna et al., 2019). To obtain robust 242 results, each model was trained 500 times with different random states. Afterwards, 500 243 prediction values were acquired for each specific point and the modal value was assigned 244 as the predicted value.

245 **2.4 Validation**

Modelling predictions were evaluated by comparing with validation data points, with assessment parameters calculated on the basis of risk level classification (i.e., low, medium or high). The parameters calculated were the model accuracy, precision, recall (sensitivity), F1 scores and Cohen's Kappa coefficient (Turesson et al., 2016; Wang et al., 2016). We assume that Kappa values of 0.4-0.6 indicates moderate agreement; 0.6-0.8 indicates good agreement; and, >0.8 indicates near perfect agreement (Gwet, 2002).

252 Ordinary and simple kriging interpolation, as well as inverse distance weighted 253 interpolation (IDWI) were also performed to provide a benchmark that was compared to 254 the HRAI-based prediction modelling. Kriging interpolation method requires the data to 255 conform to a normal distribution. However, the As concentration appears to be extremely 256 skew. To enable Kriging, Box-cox transformation was first conducted on the sample data 257 set to make the data obey normal distribution approximately. More than 40 parameter 258 combinations were conducted for each sampling point, and the average of these prediction 259 values derived from interpolation methods was used as the final prediction value.

260 3 Results

261 **3.1 Model performance parameters**

After training and establishment, the performance of each model was evaluated, with the achieved parameters for the four machine learning algorithms shown in Figure 4. Overall, the RF and ERF based models displayed the best performance at predicting risk levels.
The accuracy of the ERF algorithm reached 0.76 for the whole study area and 0.87, and
0.77 in zones TR1 and TR2, respectively. The F1-score for the ERF algorithms reached
0.86 in TR1. Cohen's Kappa coefficients of 0.43 to 0.64 for the RF and ERF predictions
were moderate to good.

The F1-scores for classifying low risk samples for all models were > 0.8. The best predictions of medium or high risk points were obtained using the RF and ERF algorithms. The RF produced a remarkably high F1-score of 0.89 for classifying high risk points in the TR1 zone. The poor performance of SVM algorithm, especially for the WSA and TR1, is likely attributed to the unbalanced data set owing to the limited number of high risk points, which is discussed in Section 4.

Among the three areas considered (WSA, TR1 and TR2), in general, all models performed best in TR1. Both the RF and ERF algorithms performed the best in the TR1 zone. The Cohen's Kappa coefficients of ERF in TR1 reached 0.64 with the F1-score of 0.86, while the F1-scores of RF in TR2 and WSA were 0.77 and 0.75, respectively. The F1-scores associated with the classification of high risk points in TR1 obtained by RF and ERF were 0.89 and 0.80, respectively.



Fig. 4. Prediction performance of different machine learning models. RF = random forest; ERF = extreme random forest; MLP = multi-layer perceptron; SVM = support vector machine; R1 = low-risk level; R2 = medium-risk level; R3 = high-risk level; WSA = the whole study area; TR1 = test area 1; TR2 = test area 2; the locations of TR1 and TR2 are illustrated in Figure 2.

The map of As risk level in soil is presented (Figure S3). The predicted pattern was generally congruent with the actual observed one, especially for the locations with the high-risk level (Figure 3, Figure S3). The result indicates that the approach developed in this study has the potential to map As risk levels. Figure S3 also demonstrates the relationship between industrial activities and As pollution risk (Peng et al., 2016). The highrisk level areas were mainly surrounded by the two factories. The As accumulation of these areas can be explained by the locations where the industrial wastes were stored.

295

296 **3.2 Contribution analysis**

Evaluating the contribution of the HRAI extracted features reveals how important each feature is for making predictions. Because the ERF algorithm generally provided the most accurate predictions, this model was selected to analyse feature contributions. In ERF, feature importance is used as an indicator of feature contribution.

Figure 5 indicates that the factory was of the most important feature for making accurate predictions. The distance to waste chemical stores (WR) point sources were also of high importance. For example, in TR1, the high-risk points were distributed beside the factory waste stores. Disturbances during the transportation and storage of waste may cause As contaminants to disperse from the factory to the local farmland, which likely accounts for the importance of identified waste storage point sources.

High risk points in zone TR2 are mostly around the factory building, meaning that the distance to factory building (CF) was the most important feature identified in TR2. Apart from features associated with the factory, the importance of distance and direction of vegetation related features was also apparent in Figure 5. River related features were another influential factor, especially in the TR2 zone. In this zone, locations between the river and factory, and the points beside the river were associated with lower risk.



Fig. 5. The importance of features in the ERF model. CF, SD, WR and LZ are the components of the factory. CF = building; SD = open ground; WR= chemical storage areas; LZ = lagoons; dis = the distance between the point and specific objective; dx = the gradient between the point and specific objective in X direction; dy = the gradient between the point and specific objective in Y direction. For example, CF_dis = the distance between the point and the building of the factory.

320 3.3 Comparison with GIMs

321 A comparison of the performance of the proposed HRAI-based model and traditional GIMs 322 modeling for different areas is shown in Figure 6. In zone TR2, the HRAI-based model 323 was an improvement over traditional GIMs for predicting soil As risk levels. The F1-score 324 of RF reached 0.77 in this zone, which was much higher than that for GIMs (0.55). The 325 Cohen's kappa coefficient of RF was twice that of GIMs. The difference in prediction 326 performance in zone TR1 and the whole study area was less obvious, but the performance 327 indicators for the ERF modelling were still better than those for traditional GIMs. Moreover, 328 the F1 scores for predicting points of high-risk level was much greater that of GIMs. 329 Because high risk points only represented 3.7% of all sampled locations, they could be 330 categorized as data outliers, thus hindering the prediction of unsampled high risk points 331 by GIMs. The one instance where traditional GIMs was better than the HRAI-based 332 modelling was in identifying points of medium risk across the whole study area. The 333 medium risk points were comingled with the points of high risk in an irregular pattern, thus 334 rendering classification by the ERF algorithm more difficult.



Fig. 6. Comparison between the proposed HRAI-based model and a traditional geostatistical interpolation method. ERF = extreme random forest; GIMs = geostatistical interpolation methods; R3 = high-risk level; WSA = whole studied area; TR1 = test area 1; TR2 = test area 2.

340 4 Discussion

335

341 The RF and ERF algorithms achieved the best performance among the four machine 342 learning algorithms (Figure 4). The rather similar performance between the RF and ERF 343 algorithms owes to the fact that they both originate from the decision tree algorithm. The 344 fact that these two algorithms performed best is because prediction accuracy relates 345 heavily to the complexity/heterogeneity of the distribution pattern of risk at the different 346 sample points. It is notable that the risk distribution in TR1 is more homogenous than in 347 WSA or TR2. In other words, high risk points within TR1 are mainly concentrated in one 348 area (labelled as Area A in Figure 7a), which means that points of different risk level can be grouped. Whereas points of high risk in in TR2 and the whole study area are more 349 350 scattered, meaning that it is difficult to generate boundaries to separate risk levels (Ji et 351 al., 2010) which would particularly hinder algorithms that rely on boundaries, like SVM. The RF and ERF algorithms select subsamples randomly and learn their features to 352 353 establish a classifier, which is more appropriate in dealing with heterogenous 354 classifications (Pal, 2005).

355 Prediction accuracies at various points are illustrated in Figure 7. This highlights the 356 reason why the distinguishing ability of each type of point is different. In Figure 7 (d, for 357 example, the prediction accuracy of point 1 and 2 is significantly lower than those beside 358 them. This is because the surrounding points are at low risks, rendering point 1 and 2 a 359 greater chance of being classified as low risk. In TR2, approximately two thirds of the high 360 risk points were associated with prediction accuracies of less than 0.5. Figure 6 (f) and (g) 361 demonstrate that some high risk points are surrounded by low and medium risk points. 362 Therefore, the commingling of points with different classifications is a major obstacle to 363 accurate prediction.



364

Fig. 7. Region division and prediction accuracy of predicted points. Prediction accuracy for specific points is the ratio of right predictions to total prediction times (500) for points in validation set, displayed as grey circles. Observed risk levels for these points were shown in blue, yellow and red. TR1 = Test area 1; TR2 = Test area 2; WSA = the whole study area; R1 = low-risk level, R2 = medium-risk level, R3 = high-risk level.

370 Heterogeneous distribution of contaminants is one of the most distinct characteristics of

371 soil contamination, and the complicated pattern of contaminant distribution is unavoidable.

372 However, despite the complex study field, a high degree of prediction accuracy was still 373 achievable. In fact, the main achievement of this study was the fact that the risk levels 374 more accurately predicted as compared with traditional Kriging interpolation approaches. 375 The Kriging method was initially established to evaluate mineral deposit reserves, which 376 are much more abundant than the typical trace levels of contaminants in soil (Leung et al., 377 2018; Pan et al., 1993). In Kriging, for example, localized high levels of contaminants 378 would be considered as data outliers and smoothed out to enhance the robustness of the 379 model (Zhang et al., 2018a). Accordingly, errors in predicting unsampled high risk 380 locations by Kriging can be relatively large. Whereas, the extracted features from HRAI 381 images allows a targeted approach to predicting high risk areas.

382 The identification and extraction of pertinent features from HRAI images is central to the 383 modelling approach developed. In this study the locations of some notable components 384 (e.g., rivers, vegetation, and factories) were identified and marked. One way in which the 385 modelling performance could be improved substantially would be to identify and extract 386 further features in greater detail, especially land features. Systematic identification of 387 hydrological features and weather patterns, for example, could be highly influential, as 388 these affect contaminant migration directions and magnitudes (Toranjian and Marofi, 389 2017). It is recommended that HRAI based predictions could be combined with in situ 390 detection methods such as portable XRF. This would enable greater amounts of training 391 data to be generated at lower cost than traditional soil sampling and chemical analysis 392 approaches.

There are two limitations in this study that should be clarified. Firstly, the RGB-related variables, which were assumed to have the potential to indicate the interactions between As and soil components, showed little influence on the prediction tasks (Figure 5). This result may demonstrate that this initial intention has not been realized. Secondly, additional data, including the location and other basic information of the pollution sources, is required from the local authorities in the proposed approach.

399 **5 Conclusions**

In this study, a novel method was proposed to predict risk levels through high-resolution
aerial imaging (HRAI). A total of 1068 samples were collected from Zhongxiang, Hubei in
southern China, and analysed for As concentrations. The risk level of each sample point

403 was assessed. Three types of feature sets, including RGB bands, ground components 404 (e.g. vegetation and rivers) and point sources at factories, were extracted from a HRAI 405 image of the study area. Half of the soil sample data was used as training data, while the 406 rest was reserved as validation data. Machine learning algorithms (i.e., MLP, SVM, RF, 407 and ERF) were developed based on the extracted features. Predicted risk levels were 408 compared with the validation data, with the ERF model generally being more accurate 409 than the other algorithms as well as traditional kriging interpolation. The average 410 classification accuracy of the ERF model in TR1 reached 0.87, and the highest F1-score 411 of R3 was up to 0.8. Mixing of different risk levels of the points undermined the model 412 prediction accuracy and features related to the factory were of importance, indicating that 413 the factory is the primary pollution source. Therefore, the proposed method has the 414 potential to map soil As for decision-making process.

415

416 Acknowledgements

- 417 This work was supported by the National Natural Science Foundation of China (Grant No.
- 418 42077118), and National Key Research and Development Program of China (Grant No.
- 419 2019YFC1804900).

420 **References**

Al Maliki, A., Al-lami, A.K., Hussain, H.M., Al-Ansari, N., 2017. Comparison between inductively
coupled plasma and X- ray fluorescence performance for Pb analysis in environmental soil samples.
Environmental Earth Sciences 76.

Beiyuan, J., Li, J.-S., Tsang, D.C., Wang, L., Poon, C.S., Li, X.-D., Fendorf, S., 2017. Fate of arsenic
before and after chemical-enhanced washing of an arsenic-containing soil in Hong Kong. Science
of the Total Environment 599, 679-688.

427 Chakraborty, S., Weindorf, D.C., Deb, S., Li, B., Paul, S., Choudhury, A., Ray, D.P., 2017. Rapid
428 assessment of regional soil arsenic pollution risk via diffuse reflectance spectroscopy. Geoderma
429 289, 72-81.

430 Chen, C., 2011. Phosphorus chemical industry in Zhongxiang City, Hubei Province accelerates 431 transformation and upgrading. <u>http://www.cinic.org.cn/xy/gdcj/287715.html</u>.

Cui, J.-I., Zhao, Y.-p., Li, J.-s., Beiyuan, J.-z., Tsang, D.C., Poon, C.-s., Chan, T.-s., Wang, W.-x.,
Li, X.-d., 2018. Speciation, mobilization, and bioaccessibility of arsenic in geogenic soil profile from
Hong Kong. Environmental pollution 232, 375-384.

435 Defra, 2020. Defra Data Services Platform. Department for Environment, Food and Rural Affairs.

- 436 Dubin, R.A., 1992. Spatial autocorrelation and neighborhood quality. Regional science
- 437 urban economics 22, 433-452.
- 438 Fayiga, A.O., Saha, U.K., 2016. Arsenic hyperaccumulating fern: Implications for remediation of 439 arsenic contaminated soils. Geoderma 284, 132-143.

González-Fernández, B., Rodríguez-Valdés, E., Boente, C., Menéndez-Casares, E., Gallego, J.R.,
2017. Long-term ongoing impact of arsenic contamination on the environmental compartments of
a former mining-metallurgy area. Science of the Total Environment 610-611, 820-830.

- Gualtieri, J.A., Cromp, R.F., 1999. Support vector machines for hyperspectral remote sensing
 classification, 27th AIPR Workshop: Advances in Computer-Assisted Recognition. International
 Society for Optics and Photonics, pp. 221-232.
- Guo, Q., Wang, Y., Guo, Q., 2010. Hydrogeochemical genesis of groundwaters with abnormal
 fluoride concentrations from Zhongxiang City, Hubei Province, central China. Environmental Earth
 Sciences 60, 633-642.
- Gwet, K., 2002. Inter-Rater Reliability: Dependency on Trait Prevalence and Marginal Homogeneity.
 Statistical Methods For Inter-Rater Reliability Assessment 2.
- Hou, D., 2019. Sustainable Remediation of Contaminated Soil and Groundwater: Materials,
 Processes, and Assessment. Butterworth-Heinemann.
- Hou, D., Bolan, N.S., Tsang, D.C.W., Kirkham, M.B., O'Connor, D., 2020. Sustainable soil use and
 management: an interdisciplinary and systematic approach. Science of the Total Environment.
- Hou, D., O'Connor, D., Nathanail, P., Tian, L., Ma, Y., 2017. Integrated GIS and multivariate
 statistical analysis for regional scale assessment of heavy metal soil contamination: A critical review.
 Environmental pollution 231, 1188-1200.
- 458 Hou, D., Ok, Y.S., 2019. Soil pollution-speed up global mapping. Nature 566.
- Hu, X., Weng, Q., 2009. Estimating impervious surfaces from medium spatial resolution imagery
 using the self-organizing map and multi-layer perceptron neural networks. Remote Sensing of
 Environment 113, 2089-2102.
- 462 Hughes, M.F., 2002. Arsenic toxicity and potential mechanisms of action. Toxicology letters 133,463 1-16.
- 464 Ji, A.-b., Pang, J.-h., Qiu, H.-j., 2010. Support vector machine for classification based on fuzzy 465 training data. Expert Systems with Applications 37, 3495-3498.
- Khanna, A., Gupta, D., Bhattacharyya, S., Snasel, V., Platos, J., Hassanien, A.E., 2019.
 International Conference on Innovative Computing and Communications. Proceedings of ICICC 2.
- Kříbek, B., Majer, V., Veselovský, F., Nyambe, I., 2010. Discrimination of lithogenic and
 anthropogenic sources of metals and sulphur in soils of the central-northern part of the Zambian
 Copperbelt Mining District: a topsoil vs. subsurface soil concept. Journal of geochemical
 Exploration 104, 69-86.

Leung, Y.F., Liu, W., Li, J.-S., Wang, L., Tsang, D.C., Lo, C.Y., Leung, M.T., Poon, C.S., 2018.
Three-dimensional spatial variability of arsenic-containing soil from geogenic source in Hong Kong:
Implications on sampling strategies. Science of the Total Environment 633, 836-847.

Li, J.-S., Beiyuan, J., Tsang, D.C., Wang, L., Poon, C.S., Li, X.-D., Fendorf, S., 2017. Arseniccontaining soil from geogenic source in Hong Kong: leaching characteristics and
stabilization/solidification. Chemosphere 182, 31-39.

- Liu, R., Wang, M., Chen, W., Peng, C., 2016. Spatial pattern of heavy metals accumulation risk in urban soils of Beijing and its influencing factors. Environmental pollution 210, 174-181.
- 480 Martinez-Villegas, N., Hernandez, A., Meza-Figueroa, D., Sen Gupta, B., 2018. Distribution of
 481 Arsenic and Risk Assessment of Activities on Soccer Pitches Irrigated with Arsenic-Contaminated
 482 Water. International Journal of Environmental Research and Public Health 15.
- Pal, M., 2005. Random forest classifier for remote sensing classification. International Journal of
 Remote Sensing 26, 217-222.
- Pan, G.C., Gaard, D., Moss, K., Heiner, T., 1993. A COMPARISON BETWEEN COKRIGING AND
 ORDINARY KRIGING CASE-STUDY WITH A POLYMETALLIC DEPOSIT. Mathematical
 Geology 25, 377-398.
- Peng, Y., Kheir, R.B., Adhikari, K., Malinowski, R., Greve, M.B., Knadel, M., Greve, M.H., 2016.
 Digital Mapping of Toxic Metals in Qatari Soils Using Remote Sensing and Ancillary Data. Remote
 Sensing 8.
- Rauf, M.A., Hakim, M.A., Hanafi, M.M., Islam, M.M., Panaullah, G.M., 2015. Bioaccumulation of
 arsenic (As) and phosphorous by transplanting Aman rice in arsenic- contaminated clay soils.
 Australian Journal of Crop Science 5, 1678-1684.
- Shi, T., Chen, Y., Liu, Y., Wu, G., 2014. Visible and near-infrared reflectance spectroscopy-An
 alternative for monitoring soil contamination by heavy metals. Journal of Hazardous Materials 265,
 166-176.
- 497 Signes-Pastor, A.J., Carey, M., Carbonell-Barrachina, A.A., Moreno-Jimenez, E., Green, A.J.,
 498 Meharg, A.A., 2016. Geographical variation in inorganic arsenic in paddy field samples and
 499 commercial rice from the Iberian Peninsula. Food Chemistry 202, 356-363.
- 500 Smits, B., 1999. An RGB-to-Spectrum Conversion for Reflectances. Journal of Graphics Tools.
- 501 Toranjian, A., Marofi, S., 2017. Evaluation of statistical distributions to analyze the pollution of Cd 502 and Pb in urban runoff. Water Science and Technology 75, 2072-2082.
- 503 Turesson, H.K., Ribeiro, S., Pereira, D.R., Papa, J.P., de Albuquerque, V.H.C., 2016. Machine 504 Learning Algorithms for Automatic Classification of Marmoset Vocalizations. Plos One 11.
- Wang, S., Liu, T., Tan, L., 2016. Automatically learning semantic features for defect prediction,
 2016 IEEE/ACM 38th International Conference on Software Engineering (ICSE). IEEE, pp. 297308.
- Wei, X., Zhou, Y., Tsang, D.C., Song, L., Zhang, C., Yin, M., Liu, J., Xiao, T., Zhang, G., Wang, J.,
 2019. Hyperaccumulation and transport mechanism of thallium and arsenic in brake ferns (Pteris
 vittata L.): A case study from mining area. Journal of Hazardous Materials, 121756.

- 511 Wu, Y., Chen, J., Ji, J., Gong, P., Liao, Q., Tian, Q., Ma, H., 2007. A mechanism study of reflectance 512 spectroscopy for investigating heavy metals in soils. Soil Science Society of America Journal 71, 513 918-926.
- 514 Zhang, J., Xiao, M., Gao, L., Fu, J., 2018a. A novel projection outline based active learning method
 515 and its combination with Kriging metamodel for hybrid reliability analysis with random and interval
 516 variables. Computer Methods in Applied Mechanics and Engineering 341, 32-52.
- 517 Zhang, L., Dai, S., Zhao, X., Nie, W., Lv, J., 2018b. Spatial Distribution and Correlative Study of
 518 the Total and the Available Heavy Metals in Soil From a Typical Lead Smelting Area, China. Soil &
 519 Sediment Contamination 27, 563-572.
- 520