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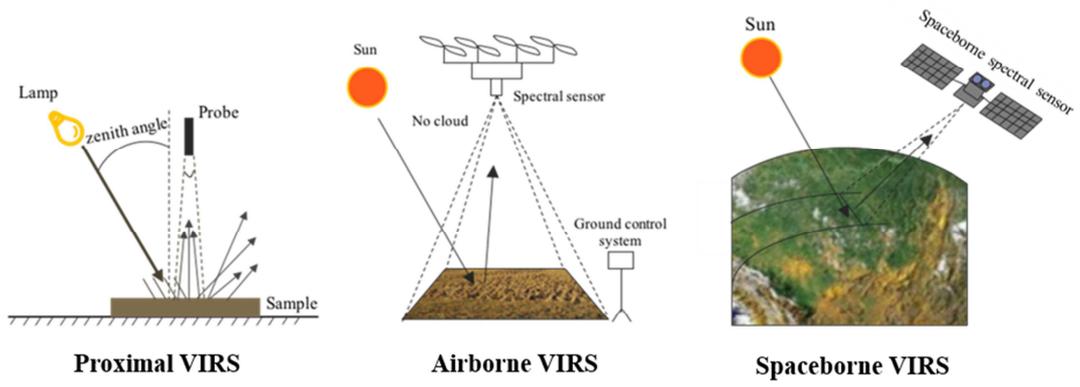
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1 **VIRS based detection in combination with machine learning for** 2 **mapping soil pollution**

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7 **Abstract**

8 Widespread soil contamination threatens living standards and weakens global efforts towards
9 the Sustainable Development Goals (SDGs). Detailed soil mapping is needed to guide
10 effective countermeasures and sustainable remediation operations. Here, we review visible
11 and infrared reflectance spectroscopy (VIRS) based detection methods in combination with
12 machine learning. To date, proximal, airborne and spaceborne carrier devices have been
13 employed for soil contamination detection, allowing large areas to be covered at low cost and
14 with minimal secondary environmental impact. In this way, soil contaminants can be
15 monitored remotely, either directly or through correlation with soil components (e.g. Fe-
16 oxides, soil organic matter, clay minerals). Observed vegetation reflectance spectra has also
17 been proven an effective indicator for mapping soil pollution. Calibration models based on
18 machine learning are used to interpret spectral data and predict soil contamination levels.
19 The algorithms used for this include partial least squares regression, neural networks, and
20 random forest. The processes underlying each of these approaches are outlined in this review.
21 Finally, current challenges and future research directions are explored and discussed.

22 **Keywords:** Reflectance spectroscopy; Machine learning; Soil mapping; heavy metals; Soil
23 pollution

24 **1 Introduction**

25 Soils, in many places throughout the world, have been contaminated as a result of
26 anthropogenic activities or natural processes (Hou et al., 2020b). Soil pollution is exacerbated
27 by soil erosion (Boardman et al., 2019; Liao et al., 2019; Patriche, 2019) and acidification
28 (Abd El-Halim and Omae, 2019; Tao et al., 2019). Soil degradation is thus threatening human
29 health (Zhang et al., 2020), crop growth (Jia et al., 2020), and ecological system (Wang et al.,
30 2020c), which weakens global efforts towards the Sustainable Development Goals (SDGs)
31 (O'Connor et al., 2020). In response, the United Nations' Environment Programme (UNEP)
32 has called on its members to report on soil pollution (UNEA, 2018). China has committed to
33 conducting a nationwide soil pollution survey every ten years; a 2014 survey reported that
34 16.1% of the nation's soils are contaminated, including 19.4% of arable soils (MEE, 2014).

35 Detailed soil mapping based on survey data is needed to inform and guide policymakers so
36 that they can introduce effective soil protection measures (Hou and Ok, 2019), and design
37 green and sustainable remediation strategies (Wang et al., 2020a; Wang et al., 2020b).
38 Accurate soil mapping, however, poses a huge technical challenge. This is primarily because
39 soils can be highly heterogeneous (Hu et al., 2017b), with contaminant concentrations
40 sometimes differing by several orders of magnitude within only a few meters (Han et al.,
41 2018). Subsamples collected from a single sampling location have rendered heavy metal
42 concentrations (e.g., Pb) that range over orders of magnitude (Brewer et al., 2017). In
43 regional scale investigations, it is often found that average heavy metal concentrations can
44 vary by 1~2 orders of magnitude between adjacent sampling sites.

45 In conventional sampling, soil samples are physically collected from the surveyed land. This
46 is conducted according to a sampling plan, which is typical, - but not exclusively - a non-
47 targeted grid pattern for regional assessments and targeted samples for site-specific
48 assessments (Hou et al., 2017). Collected soil samples are subjected to laboratory-based
49 analytical chemistry. For heavy metals and metalloids (hereafter collectively termed as

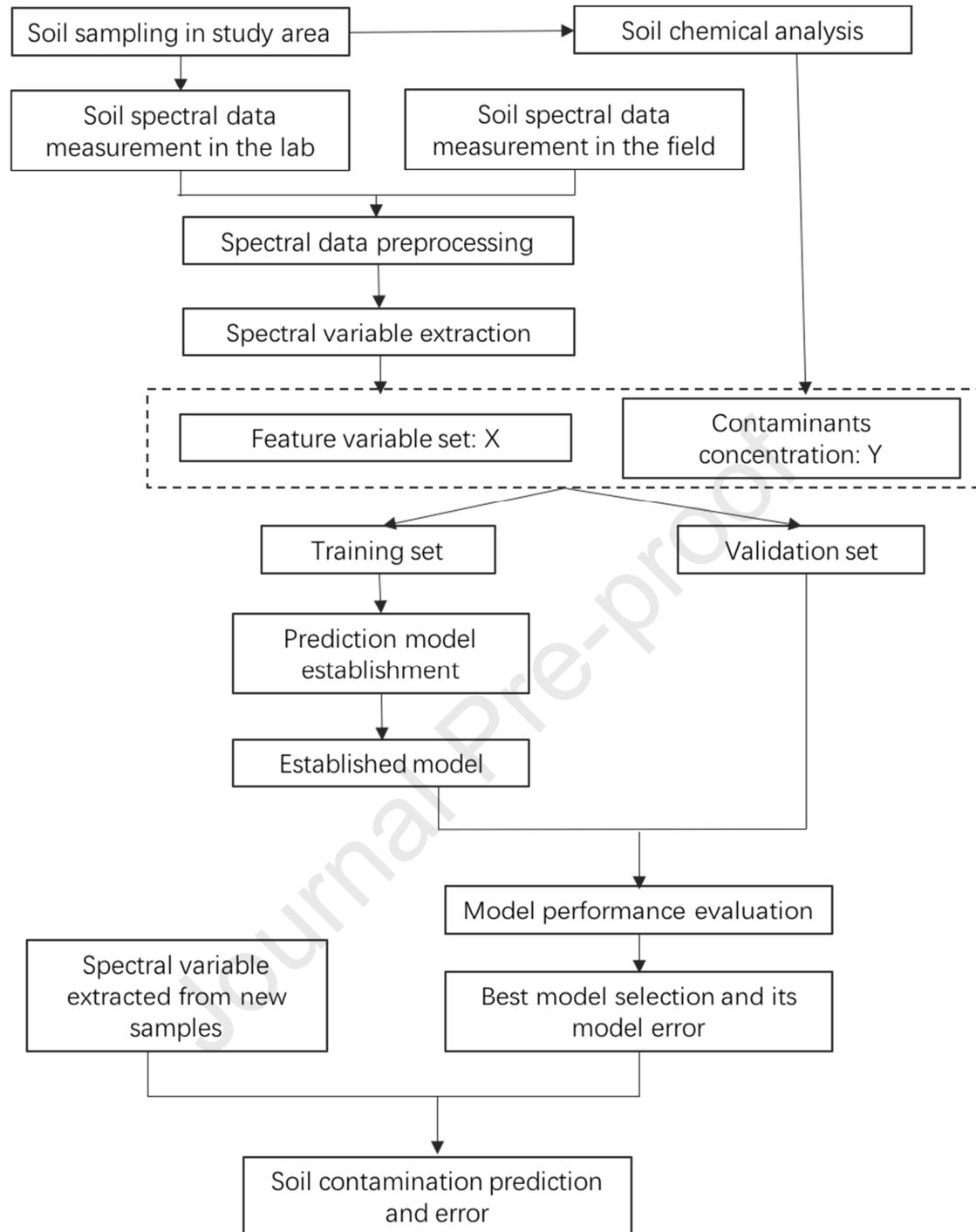
50 'heavy metals'), this usually involves acid digestion, pollutant extraction and detection with
51 an inductively coupled plasma-mass spectrometer (ICP-MS) (Zuzolo et al., 2018).
52 Geostatistical methods can then be applied to derive a spatial structure, enabling us to predict
53 contaminant concentrations at un-sampled locations (Cheng et al., 2018; Hou et al., 2017).

54 This approach, however, relies on the underlying assumptions of geostatistics (i.e. spatial
55 autocorrelation), which can be incorrect in very heterogeneous soil environments, especially
56 where there are a diverse range of pollution sources (Hou et al., 2017). Importantly,
57 geostatistics cannot capture spatial distribution patterns smaller than the distance between
58 adjacent sampling locations (Goovaerts, 1999). For instance, a national-scale soil quality
59 investigation is currently being conducted across China in which the sampling grid pattern is
60 typically set at either 500 x 500 m or 1 x 1 km (MEE, 2017). While this investigation is
61 expected to provide valuable information regarding levels of soil contamination on a basin-
62 scale (e.g. units of square kilometers), and could render important data for identifying
63 potential pollution sources (e.g. via geostatistical and/or multivariate statistical analysis), it is
64 not intended to provide accurate predictions of pollution levels on a parcel level (i.e., sub-
65 hectare resolution) (SC, 2016). For instance, soil samples collected within 200m of highways
66 can contain high heavy metal contents (Pb, Zn and Cu), but such details could be overlooked
67 on such a large-scale sampling resolution (Martinez-Carvajal et al., 2019).

68 Recently, researchers have explored the use of innovative tools that make the detection of soil
69 contaminants easier and faster, thus enabling higher resolution prediction of contamination
70 levels (Chakraborty et al., 2015). An emerging method is known as visible and infrared
71 reflectance spectroscopy (VIRS), which involves in-the-field measurement of contaminants
72 from either a handheld portable device, unmanned aerial vehicles (UAVs), or even satellites,
73 for fast remote sensing of large spatial areas (**Table 1**) (Gholizadeh and Kopackova, 2019;
74 Gholizadeh et al., 2018). The visible reflectance spectrum (VIS, 380-750 nm), near-infrared
75 spectrum (NIR, 750-1300 nm), short wave infrared spectrum (SWIR, 1300-2500 nm), mid-
76 infrared spectrum (MIR, 0.25 - 2.5 μm) and long-wave infrared spectrum (LWI, 8-12 μm)

77 have all been applied for VIRS based soil monitoring (Shi et al., 2016). The use of this
78 sensing technique can accelerate soil pollution mapping at high resolution with less expense
79 and time than other soil sampling approaches.

80 As with most analytical detection techniques, VIRS requires calibration to render accurate
81 contaminant concentrations (Kemper and Sommer, 2002). However, this method requires
82 considerable data processing before acceptable accuracy can be achieved (Kooistra et al.,
83 2001). Recently, machine learning algorithms have been developed for this purpose (Liu et
84 al., 2019a; Shan et al., 2018) which enable the measurement of heavy metals as well as
85 organic contaminants (Douglas et al., 2018b; Liu et al., 2017). Therefore, the overall process
86 for conducting soil surveys with VIRS detection is rather complicated, as shown in **Figure 1**.



87

88 **Figure 1.** VIRS based detection and machine learning process

89 A number of recently published reviews have described different aspects of VIRS technology
 90 in detail (e.g. proximal, airborne and spaceborne spectrum) and its suitability for the detection
 91 of different types of contaminant (Gholizadeh and Kopackova, 2019; Gholizadeh et al., 2018;
 92 Shi et al., 2018). However, a detailed overview of how machine learning is used in

93 combination with VIRS has been lacking till now. Accordingly, the following topics are
94 reviewed: 1) an overview of the mechanisms underlying VIRS detection of soil
95 contamination; 2) machine learning algorithms for interpreting VIRS data; 3) application
96 attributes.

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97 **Table 1** Soil surveys that have used VIRS

Reference	Country / Region	# of locations	Area (km ²)	Land use	Contaminant (concentration range (mg/kg))	Lab/field/remote detection	Sensing method	Wavelength range (nm)	Statistical analysis method	R ²
(Chakraborty et al., 2015)	USA	108	--	Oil production	TPH (0-326294.48)	Lab	VNIR	350~2500	PSR; RF	0.78
(Chakraborty et al., 2017)	India	200	8.1	Vegetable farming	As (2.42-10.37)	Lab	VNIR	350~2500	ENET	0.97
(Chen et al., 2015)	China	60	--	Wheat farming	Cd (0.37-5.6)	Lab	VNIR	325~1075	PLSR; BPNN	0.82
(Choe et al., 2009)	Spain	49	--	Gold mining	Pb (56.8-152.5); Cu (21.9-252.6); As (52.4-1493.8)	Lab / remote	VNIR	350~2500 / 450~2500	MLR	0.88
(Douglas et al., 2018a)	Nigeria	85	--	Oil production	TPH (16.07-252.59)	Lab	VNIR	350~2500	PLSR; RF	0.68
(Kooistra et al., 2001)	Netherlands	69	--	Flood plains	Cd, Zn	Lab	VNIR	400~2500	PLSR	0.95
(Lassalle et al., 2018)	France	--	--	Oil production	TPH (0-140000); PAH (0-1600)	Lab	VNIR	350~2500	LDA	--
(Liu et al., 2011)	China	120~160	--	Rice farming	Cu (mean: 54.78), Cd (mean: 0.35)	Field	VNIR	350~2500	FNN	0.78
(Al Maliki et al., 2014)	Australia	31	--	Various	Pb	Lab	VNIR	400~2500	PLSR	0.46
(Okparanma et al., 2014a)	Nigeria	137	--	Oil production	PAH	Lab	VNIR	350~2500	PLSR	0.89
(Pascucci et al., 2009)	Italy	--	--	Industrial	Red mud	Field	VNIR FTIR	350~2500 8000~14000	--	--
(Peng et al., 2016)	Qatari	300	11,437	Various	As (0.4-7.9); Cr (1.9-64.9); Ni (2.3-76.1); Zn (2.8-130.9); Cu (0.6-28.8); Pb (0.5-14.1)	Remote	Landsat 8 images	450~2290	Cubist	0.74
(Ren et al., 2009)	China	33	--	Rice farming	As (19.33-403.77), Cu (31.83-190.51)	Lab	VNIR	350~2500	PLSR	0.62
(Shi et al., 2014b)	China	100	4.5	Rice farming	As (10.3-133.4)	Lab Field	VNIR VNIR	350~1200 350~2500	PLSR PLSR	0.59 0.50
(Song et al., 2012)	China	61	--	Rice farming	Cd (0.081-1.441), Cr (30.990-108.900); Pb (11.120-89.680), Cu (9.900-55.500); Hg (0.040-0.269); As (4.000-16.600)	Lab	VNIR	400~2500	PLSR	0.99
(Sun and Zhang, 2017)	China	74	--	Farming	Zn (60.44-4946.60)	Lab	VNIR	350~2500	PLSR	0.64
(Tayebi et al., 2017)	Iran	120	295	Iron mining	Fe (4436.25-271375)	Lab	VNIR	400~2450	PLSR, PCR	0.29~ 0.54
(Todorova et al.,	Southern	62	5151	Farming	Zn (8.54-410.46); Cu (1.68-263.56); Pb (5.60-	Lab	NIR	700~2500	PLSR	0.38~

Reference	Country / Region	# of locations	Area (km ²)	Land use	Contaminant (concentration range (mg/kg))	Lab/field/remote detection	Sensing method	Wavelength range (nm)	Statistical analysis method	R ²
2014)	Bulgaria				82.49); Cr(3.90-150.82); Ni (1.09-118.62)					0.89
(Wang et al., 2014)	China	100	--	Farming	As (1.91-21.90); Pb (9.01-37.60); Zn (29.32-117.49); Cu (8.30-26.38)	Lab	VNIR	350~2500	PLSR	0.49~0.69
(Webster et al., 2016)	Italy, Australia, Nigeria	194	--	Various	TPH (0-60000)	Lab	IR	6000~650 cm ⁻¹	PLSR	0.99
(Wu et al., 2005)	China	120	--	--	Hg (0.04-1.26)	Lab	VNIR	380~2500	PCR	0.69
(Zhao et al., 2018)	China	75	179700	Various	Hg (0.018-0.615)	Lab	VNIR	340~2511	MLR, BPNN	0.92
(Stazi et al., 2014)	Italy	135	108	Farming	As (25-1045)	Lab	VNIR	500-800	PLSR, SVM	r: 0.82
(Pelta et al., 2019)	Israel	--	--	--	Oil	Field	VNIR	400 - 2500	LDA	Recall: 0.93

98 Acronyms: Statistical analysis: BPNN= back propagation neural network; ENET=elastic net regression; FNN=fuzzy neural network; MLR=multiple linear regression; PCR=principal component regression;
99 PLSR=partial least squares regression; PSR=penalized spline regression; RF=random forest regression; SVM=support vector machine; LDA= linear discriminant analysis; Sensing: VNIR= visible near-infrared
100 reflectance; Contaminants: As=arsenic; Cd=cadmium; Cu=copper; Cr=chromium; Hg=mercury; Ni=nickel; PAH=polycyclic aromatic hydrocarbon; Pb=lead; TPH=total petroleum hydrocarbon; Zn=zinc;

101 **2 Predictors and underlying mechanisms**

102 The use of VIRS relies on the fact that atoms and molecules absorb and emit
103 electromagnetic radiation because of electron transition and molecular vibration (Shi
104 et al., 2018). Identification and quantification of different chemicals can be achieved
105 based on emission and absorption spectra. In soil contamination monitoring, VIRS
106 captures reflectance energy from the land surface with the reflectance spectra
107 informing us of the soil composition (Shi et al., 2014a).

108 Certain organic soil contaminants, such as polycyclic aromatic hydrocarbons (PAH)
109 and petroleum hydrocarbons (collectively termed total petroleum hydrocarbons
110 (TPH)), are often detectable in visible and infrared reflectance spectra (Chakraborty
111 et al., 2010; Douglas et al., 2018b). In the case of heavy metals, direct monitoring can
112 only be achieved at concentrations that rarely occur in the field (e.g., 4000 mg/kg in
113 the case of Cd) (Liu et al., 2017; Wu et al., 2007; Xia et al., 2007). Fortunately,
114 interactions between trace levels of heavy metals and more abundant soil components
115 (e.g. clay, organic matter and Fe oxides) provides an opportunity to detect them
116 indirectly (Wu et al., 2005; Zhao et al., 2018). Another way of detecting trace levels
117 of metals is to monitor vegetation spectra because of the influence contaminants exert
118 on plant physiology (Shi et al., 2016). Specific mechanisms for predicting soil
119 pollutants are introduced in this section.

120 **2.1 Molecular vibration**

121 In the case of organic compounds, stretching and vibrations of aliphatic (alkyl)
122 compounds and certain functional groups can often be observed in NIR and MIR
123 spectra (Douglas et al., 2018a; Forrester et al., 2013). The first overtone of TPH is
124 observed in the wavelength range of 1600-1820 nm, and the second at 1100-1500 nm.
125 Observation of the second overtone is more difficult if TPH concentrations are

126 relatively low (Hauser et al., 2013). In the case of PAHs, the first overtone of C-H
127 stretching and deformation of C-H combination, and the second overtone of C-H
128 stretching in aromatic C-H are observed at wavelengths of 1675 nm, 1417 nm and
129 1097 nm, respectively (Okparanma et al., 2013). In MIR region, the peaks around
130 $1630\text{-}1580\text{ cm}^{-1}$, $1930\text{-}1840\text{ cm}^{-1}$ and $2060\text{-}1930\text{ cm}^{-1}$ are associated with aromatic
131 functions (Hobley et al., 2014; Ng et al., 2017).

132 The concentration of TPH in soil samples collected from oil-contaminated sites can be
133 determined by Vis-NIR spectrophotometry, with absorption peaks around 1712 nm,
134 1758nm and 2207 nm (Douglas et al., 2018a). The 1712nm and 1758 nm peaks are in
135 the first overtone region, which are attributed to the stretching of terminal CH_3 and
136 saturated CH_2 in alkyl (Workman and Workman, 2007); the 2207 nm peak is
137 associated with either amide ($\text{C}=\text{O}$) or the stretch and bending caused by crude oil
138 (Rossel and Behrens, 2010). Okparanma et al. (2014) demonstrated that PAHs in soil
139 are detectable at a wavelength of 1670 nm, which was attributed to aromatic C-H. The
140 calibration R^2 value for their PAH prediction model was 0.89, and the PRD reached
141 3.12.

142 Observed spectra for organic contaminants may overlap with soil organic matter
143 (SOM), but the presence of SOM would not normally influence TPH detection (Ng et
144 al., 2017). This is because TPH consists of medium length chains, whereas SOM
145 mainly composes of long $-\text{CH}_2$ chains, and relatively low amounts of $-\text{CH}_3$ (Forrester
146 et al., 2013). For example, it has been found that spiking TPH contaminated soils with
147 SOM has little effect on observed NIR absorption spectra, but it may affect the MIR
148 region (especially 1980 , 1870 , and 1790 cm^{-1} peaks) (Ng et al., 2017). Forrester et al.,
149 (2013) noted several characteristic absorption peaks in the spectrum of TPH
150 contaminated soil with the presence of SOM, which were attributed to the vibrational
151 overtone of terminal methyl in the MIR region. The presence of such peaks can
152 fortuitously aid TPH detection.

153 2.2 Soil properties

154 2.2.1 Soil organic matter

155 Soil organic matter (SOM) derives from the breakdown of plant and animal debris.
156 Many studies have shown that the combination of molecular vibration and overtones
157 in SOM, including O-H, C-H, C=O groups, can be identified in Vis-NIR spectra
158 (Kooistra et al., 2001). Because humic and fulvic acids in SOM bind with heavy metal
159 cations, through COOH, OH, and C=O interactions (Piccolo & Stevenson, 1982),
160 correlation between SOM and heavy metals levels has been observed (Egli et al.,
161 1999).

162 Several studies have exploited SOM spectral bands to predict heavy metal
163 concentrations in soil. For example, at an agricultural site contaminated by polluted
164 irrigation water, it was found that Cd levels were positively correlated with SOM.
165 Measurement of 410, 581-626, and 670-690 nm wavelengths were found to be
166 effective for predicting Cd levels (Chen et al., 2015). Chakraborty et al. (2017) used
167 VIS-NIR spectroscopy to determine As concentrations using the absorption feature
168 associated with O-H and C-H bonds in SOM at a wavelength of around 1290-1310
169 nm.

170 2.2.2 Fe-oxides

171 Iron oxides and hydroxides are widely found in the earth's surface, especially iron
172 oxyhydroxide (goethite), which forms from weathered iron-rich minerals (Shi et al.,
173 2014a; Wu et al., 2007). Because Fe-oxides are characterized by high surface charge,
174 large surface area and strong adsorption capacity, they play a crucial role in the fate
175 and transport of heavy metals in the subsurface (Shuman, 1982). For this reason,
176 concentrations of soil heavy metals often correlate to those of Fe-oxides (Wu et al.,
177 2007). VIRS detection is possible because various peaks, including 565, 435, 500 nm

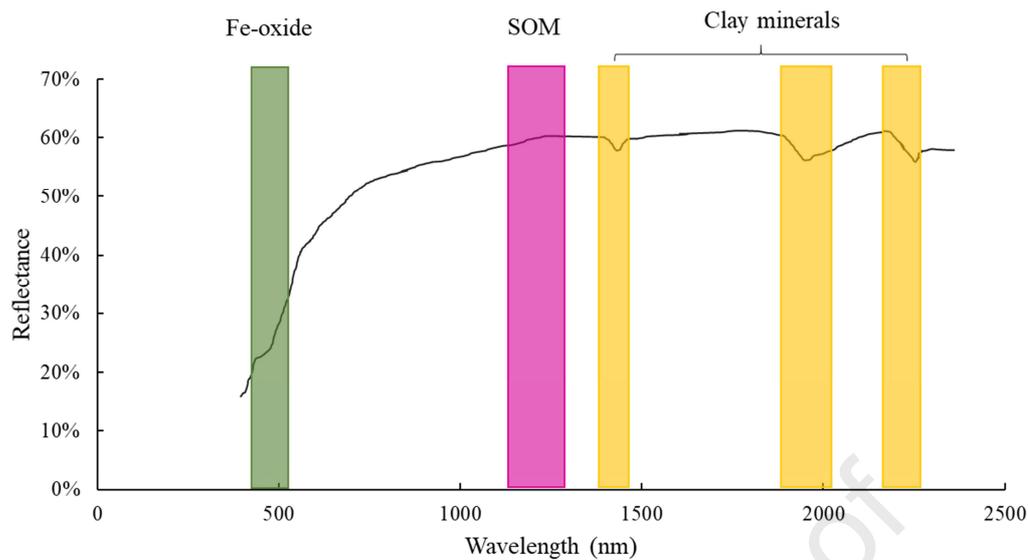
178 and bands between 650 and 760 nm, have been associated with Fe-oxides, with
179 significant correlations identified with soil heavy metals (Xia et al., 2007).

180 Kemper and Sommer (2002) found that As closely correlated with the reflectance of
181 Fe oxide related bands at ~550 nm wavelength. Wu et al. (2011) reported that Ni
182 concentrations can exhibit a negative correlation with iron oxides, especially in the
183 480-580 nm wavelength region. Chakraborty et al. (2017) reported that As
184 concentrations had a strong correlation with Fe oxides, meaning that high levels of
185 regression fitness with diffuse reflectance data could be achieved.

186 2.2.3 Clay Minerals

187 Hydroxyl absorption associated with molecular water can be detected at 1400 nm,
188 1900 nm and 2200 nm, which is associated with clay minerals (Ibrahim et al., 2008;
189 Kemper and Sommer, 2002; Zhao et al., 2018). Bands at 538 nm wavelength
190 correspond with the Si-O and Si-O-Al bonds in clay minerals (Song et al., 2012). This
191 is important for soil contamination surveys because the cation exchange capacity
192 (CEC) of clays minerals are often high, meaning that heavy metals cations can easily
193 replace clay mineral cations. Heavy metals tend to sorb to clays by Van der Waals
194 forces and hydrogen bonds (Kumpiene et al., 2007).

195 Concentrations of heavy metals in mine tailings can correlate with bands at 1400 nm,
196 1900 nm and 2200 nm (Kemper and Sommer, 2002). Choe et al. (2009) found that As
197 levels had a statistically significant ($p = 0.006$) correlation with reflectance at 2200
198 nm. The calibration R^2 value was 0.56. Song et al. (2012) found that Cu displayed the
199 highest correlation at 538 nm, which was related to Si-O bands, with an R^2 value of
200 0.551 ($p < 0.001$). A positive correlation between Hg concentration and adsorption at
201 2210 nm was reported by Wu et al. (2005).



202

203 **Figure 2** Key wavelengths for soil contamination prediction based on VIRS204 **2.3 Vegetation**

205 Wavelengths around 540, 690, 730, and 780 nm are closely associated with
 206 chlorophyll-a/-b contents in plant leaves and pigment composition (Blackburn, 1998).
 207 Leaf anatomical features, including mass per area and structure differences (i.e., cell
 208 morphology and parenchyma structure) can present significant correlation with NIR
 209 peaks (Ourcival et al., 2010). By combining VIS, NIR and short wave infrared, the
 210 water content in vegetation can be monitored (Cao et al., 2013). Because pigments,
 211 anatomical features, and plant water content relate to plant health (Shi et al., 2016),
 212 vegetation reflectance can be used for assessing soil contamination levels (Huang et
 213 al., 2009). Changes to the physicochemical and biological properties of soils also
 214 cause an effect on vegetation reflectance (Jiang et al., 2010; Lassalle et al., 2018;
 215 Rosso et al., 2005).

216 Shi et al. (2014b) explored the reflectance of rice plants to predict soil As
 217 concentrations. It was found that 768, 939, 953, 1132, and 1145 nm wavelengths
 218 correlated to As levels, while 768, 939 and 953 nm wavelengths were related to the

219 leaf area index and chlorophyll density, and 1132 and 1145 nm wavelengths were
220 associated with the cellular structure, which could be used for indirect measurement
221 of As levels. A partial least squares regression (PLSR) model was developed with an
222 R^2 of 0.77 (Shi et al., 2014b). Two-band and three-band vegetation indices have been
223 used to predict As levels by linear and polymeric regression models. The three-band
224 index $(R_{716} - R_{568}) / (R_{552} - R_{568})$ is the more effective of these (Shi et al., 2016).

225 It should be noted that environmental factors unrelated to soil contaminant levels (e.g.,
226 nutrient availability) may affect the health of plants and should be considered when
227 relying on vegetation reflectance data (Lassalle et al., 2018). Moreover, the sensitivity
228 to contaminant exposure is different for different plant species (Lassalle et al., 2018;
229 Sanches et al., 2013).

230 **2.4 Factors affecting VIRS detection**

231 Several factors, including contaminant concentrations and other soil components (e.g.
232 SOM and clay minerals), affect VIRS detection. Because the contaminant
233 concentration determines how much energy is absorbed and emitted, the higher the
234 soil contamination level the easier it is to interpret reflectance spectrum directly
235 (Okparanma and Mouazen, 2013; Somsubhra et al., 2014). Wu et al. (2007) noted that
236 when concentrations of Cr and Cu were higher than 4000 mg/kg, adsorption could be
237 discriminated at wavelengths of around 610 and 830 nm, respectively. However,
238 detection was not possible at concentrations below 1000 mg/kg. Moreover, when
239 contaminant concentrations are limited, spectral peaks may shift from their usual
240 wavelength positions (Somsubhra et al., 2014).

241 Because most soil heavy metals only exist in trace amounts, they must be monitored
242 indirectly. The predictability of trace levels of heavy metals varies depends on their *in*
243 *situ* behavior. Kemper and Sommer (2002) found that Pb could be predicted with a

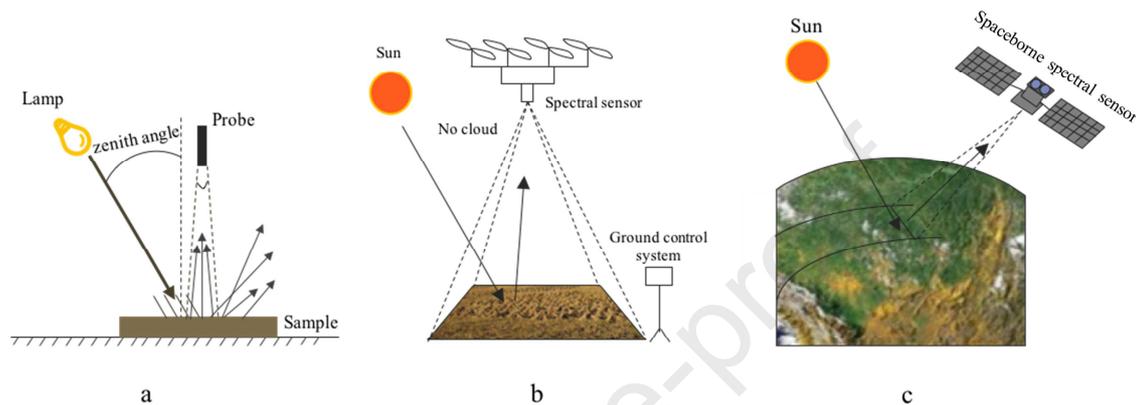
244 high R^2 value (0.940), followed by Hg and As with R^2 values of 0.929 and 0.858,
245 respectively. The R^2 values for Cd, Cu and Zn were much lower (Kemper and
246 Sommer, 2002). Hou et al. (2019) monitored six heavy metals through hyperspectral
247 VIRS detection, finding that prediction accuracy decreased in the order of
248 Ni>Zn>Pb>Cu>Cr>Cd.

249 In general, detectability relates to the affinity of contaminants to different soil
250 components (Stazi et al., 2014; Xia et al., 2007). Song et al. (2012) found that the
251 detection of heavy metals in soil was correlated to their affinity to Fe_2O_3 , Al_2O_3 and
252 SOM. (Wu et al., 2007) reported that goethite detection (at 500 nm) was positively
253 correlated with various heavy metals.

254 All the predictors mentioned above have certain advantages and disadvantages.
255 Molecular vibration are widely used to predict organic pollutants, but the key
256 wavelengths will shift while the pollutant concentration changes (Somsubhra et al.,
257 2014). Soil-property-related predictors (i.e. Fe-oxide and clay minerals) are mainly
258 used to predict heavy metals in soil, since heavy metals tend to sorb to them and
259 significant statistic relationship are identified between them (Wu et al., 2007).
260 However, Douglas et al. (2018a) have observed that correlation between the contents
261 of soil-property-related predictors (e.g. organic matter and clay) and TPH were not
262 significant, and have obtained similar conclusion. Therefore, the use of soil-property-
263 related predictor in predicting soil organic concentration is limited. Vegetation can
264 potentially indicate the extent of soil pollution, which is a crucial indicator especially
265 when spaceborne spectrometers are employed (Shi et al., 2014b). However, compared
266 to molecular vibration and soil components, vegetation, incorporates more unstable
267 factors when used to predict soil pollution, such as their different ability on indicating
268 pollutants contents (Lassalle et al., 2018). Further exploration are requisite to
269 interpreting the relationship between vegetation spectrum and soil pollution
270 concentration.

271 3 Remote sensing techniques

272 Proximal, airborne and spaceborne carrier devices have been employed for VIRS
 273 based soil contamination detection, allowing large areas to be covered at low cost and
 274 with minimal secondary environmental impacts (**Figure 3**).



275

276 **Figure 3** Schematic diagrams of (a) proximal sensing, (b) airborne imaging and (c) a
 277 spaceborne spectrometer (Shi et al., 2014a; Shi et al., 2018)

278 3.1 Proximal sensing techniques

279 Proximal soil sensing refers to the collection of soil information close to soil (i.e.,
 280 within 2 m) (Rossel et al., 2011). Various proximal VIRS sensors have been
 281 developed that collect physical, chemical and biological information in this way, with
 282 the most common detectors listed in **Table 2**. The spectral resolutions of those
 283 spectrometers are in the range 0.05-10 nm and 2-8 cm^{-1} in the Vis-NIR and MIR
 284 range, respectively. In general, higher detection accuracy is achieved with smaller
 285 spectral resolution.

286 In laboratory-based proximal sensing studies, field soil is transported to the laboratory
 287 for scanning. Chakraborty et al. (2017) evaluated As levels with a portable Vis-NIR
 288 spectroradiometer, reporting a calibration R^2 of 0.97. Webster et al. (2016) measured
 289 MIR spectra to assess TPH levels in soil, reporting R^2 values of up to 0.99. However,

290 outdoor field environments are more complex than those in the laboratory. Multiple
 291 factors affect spectra, including air and soil moisture content. Therefore, R^2 values
 292 reported in field studies are usually much lower than those obtained in the laboratory
 293 (Shi et al., 2014b). Nevertheless, Shi et al. (2014b) was able to predict soil As
 294 contamination from vegetation using a portable spectroradiometer. Linear
 295 discriminant analysis (LDA) of vegetation spectra has also been shown to
 296 discriminate water-deficient or oil-contaminated soils in the field (Lassalle et al.,
 297 2018).

298 **Table 2** Commonly used portable proximal spectrophotometers

Spectrophotometer	Manufacturer	Wavelength range	Spectral resolution	Reference
PSR-3500® VisNIR spectroradiometer	Spectral Evolution, USA	350-2500 nm	3.5 to 10 nm	(Chakraborty et al., 2017)
Perkin-Elmer Lambda 900 spectrophotometer	Perkin-Elmer, Germany	400-2500 nm	UV-VIR: < 0.05 nm NIR: < 0.20 nm	(Song et al., 2012)
FieldSpec HandHeld	Analytical Spectral Devices, Inc., USA	325-1075 nm	3.5 nm at 700 nm	(Zhang et al., 2016)
LabSpec® 2500	Analytical Spectral Devices, Inc., USA	350-2500 nm	10 nm at NIR	(Douglas et al., 2018b)
FieldSpec® 3	Analytical Spectral Devices, Inc., USA	350-2500 nm	3 nm at 700 nm 10 nm at 1400/2100 nm	(Wang et al., 2014)
FieldSpec® 4	Analytical Spectral Devices, Inc., USA	350-2500 nm	3 nm @ 700 nm 8 nm at 1400/2100 nm	(Hou et al., 2019)
FTIR TENSOR 37	Bruker Optics, Ettlingen, Germany	2500–25,000 nm	4 cm^{-1} between 3996 to 599 cm^{-1} at	(Ng et al., 2017)
Nicolet 6700 FT-IR spectrometer	Thermo Scientific, USA	2500-20000 nm	1.928 cm^{-1}	(Song et al., 2012)
Hand-held 4100 ExoScan FTIR spectrometer	Agilent Technologies, USA	6000–650 cm^{-1}	8 cm^{-1}	(Webster et al., 2016)

299 3.2 Airborne imaging

300 Airborne spectrometry is a promising approach to remote sensing. Various
 301 hyperspectral sensors have been equipped to aircraft and unmanned aerial vehicles
 302 (UAVs) (**Table 3**). For example, airborne imaging was used to predict Pb, Zn and As
 303 by Choe et al. (2008). The distribution of red mud dust has also been observed by
 304 MIVIS based airborne imaging (Pascucci et al., 2009). The spatial resolution of
 305 airborne imaging is determined by the field-of-view (FOV) and altitude of the sensor,
 306 which can be adjusted in accordance with practical demands. It should be noted that

307 airborne imaging is affected by factors such as air moisture and vegetation cover,
 308 meaning that successful field surveys are currently limited (Shi et al., 2014a).

309 **Table 3** Hyperspectral imaging sensors for airborne imaging

Hyperspectral sensor	Spectral range (nm)	Spectral bands	Spectral resolution (nm)	Spatial resolution	Reference
HyMap	450-2480	126	16	5 m	(Choe et al., 2008; Franke et al., 2009)
ASIA	400-2500	481	3.3	1.5 m (altitude: 1.3 km)	(Hillnhuetter et al., 2011)
AVIRIS	400-2500	224	10	20 m (altitude: 20 km)	(Chabrilat et al., 2002)
CASI	450-2500	--	10-15	3 m	(Dutkiewicz et al., 2009)
MIVIS	430-12700	102	9-540	--	(Forzieri et al., 2012)

310 3.3 Spaceborne spectrometers

311 Spaceborne spectrometry is an efficient, economical and increasingly accessible
 312 approach to soil mapping (Guan et al., 2019). Earth observation satellites with high
 313 resolution sensors and high numbers of spectral bands have been launched, including
 314 the European Space Agency's (ESA's) Sentinel satellites, NASA's Landsat program,
 315 and China's HJ-1 (Gholizadeh et al., 2018; Roy et al., 2014). NASA's Landsat-8 is
 316 equipped with an operational land imager and thermal infrared sensor, covering the
 317 11 wavelength bands (4 visible, 1 near-infrared, 2 shortwave infrared, 1 panchromatic,
 318 1 cirrus and 2 thermal infrared) (Roy et al., 2014). ESA's Sentinel-2 satellite has 13
 319 bands, covering 443 to 2190 nm wavelength (BERGER et al., 2012). The HJ-1
 320 satellite is equipped with a hyperspectral sensor with 115 spectral bands in the range
 321 of 450-950 nm (Liu et al., 2015).

322 Peng et al. (2016) and Guan et al. (2019) used the spectral bands of the Landsat-8
 323 satellite, involving brightness and normalized difference vegetation index (NDVI))
 324 with land features (e.g. elevation and slope), to predict the concentrations of As, Cr,
 325 Ni, Pb and Zn. Liu et al. (2019b) used spectral data from the HJ-1 satellite to predict
 326 soil concentrations of Cd through multiple nonlinear regression, achieving an R^2 of
 327 0.81.

328 Advanced hyperspectral satellites that will provide higher accuracy are due to be
329 launched in the coming years, including the HypIRI satellite with 214 spectral bands,
330 the CCRSS satellite with 328 spectral bands and the EnMAP satellite with 242
331 spectral bands (Gholizadeh et al., 2018).

332 **4 Spectral data analysis by machine learning**

333 **4.1 Regression**

334 Regression algorithms are often used to interpret spectral data (Chakraborty et al.,
335 2017). For example, univariable regression is used to predict independent variables
336 from a single dependent variable. Shi et al. (2016) used this approach to predict soil
337 As levels ($R^2 = 0.56$). However, as multiple dependent variables can usually be
338 extracted from spectral data, multiple linear regression (MLR) is more commonly
339 used. Compared to other advanced multivariate algorithms, MLR is easier to perform
340 and interpret. However, MLR prediction accuracy is reduced when predictor variables
341 involve non-linear relationships. Kemper and Sommer (2002) employed MLR to
342 predict the concentration of heavy metals from spectral data ($R^2 = 0.234-0.957$). Ng et
343 al. (2017) used this approach to predict soil TPH levels ($R^2 = 0.71$).

344 The most used techniques for interpreting spectral data are principle component
345 regression (PCR) and partial least squares regression (PLSR). PCR is a two-step
346 technique in which predictor variables are transformed into principal components by
347 principal component analysis (PCA), which are then inputted as predictors into MLR
348 (Wu et al., 2005). The first step allows multi-linear problems to be solved. As an
349 enhancement to PCR, PLSR has a similar structure but also takes response variables
350 into account in the PCA step. Therefore, PLSR not only handles multi-linear data but
351 also allows for the number of variables to exceeds that of the samples (Shi et al.,
352 2014b; Wang et al., 2014). Douglas et al. (2018b) and Webster et al. (2016) used

353 PLSR to predict soil TPH levels with Vis-NIR and MIR spectral data, reporting R^2
354 values of 0.63 and 0.99, respectively.

355 Other regression approaches include elastic net regression (ENR) and penalized spline
356 regression (PSR). ENR overcomes the problem of overfitting, whereas PSR is able to
357 solve problems of high-dimensional data analysis. Both ENR and PSR have been
358 utilized to predict soil As levels with reported R^2 values of 0.97 and 0.89, respectively
359 (Chakraborty et al., 2017).

360 **4.2 Neural network**

361 The neural network is composed of artificial neurons which form layers that further
362 link into connections, thus mimicking the human brain (Laberge et al., 2000). This
363 non-linear method has attracted extensive interest in multiple fields (Abedinia et al.,
364 2018; Park et al., 2011). In soil surveys, back-propagation neural network (BPNN)
365 has obtained attention for its ability to interpret spectral data more effectively than
366 partial least squares regression (PLSR) (Chen et al., 2015; Zhao et al., 2018).

367 Algorithm optimization of BPNN has been explored to improve predictive accuracy.
368 For example, Zhao et al. (2018) used BPNN with a genetic algorithm (GA) to predict
369 soil Hg levels. A combination of particle swarm optimization and BPNN (PSO-BPNN)
370 mitigates slow convergence and avoids trapping in local minima. (Liu et al., 2019b)
371 used PSO-BPNN to predict concentrations of Hg, Cd and As with higher accuracy
372 than primary BPNN. Tian et al. (2019) optimized BPNN with the combination of GA
373 and the ant colony algorithm to predict heavy metal concentration, with a reported R^2
374 value for Cr detection (0.87) higher than for primary BPNN (0.55).

375 **4.3 Random forest**

376 Random forest (RF) evolved from the decision tree algorithm, a classical and intuitive
377 algorithm that exploits top-down and binary splits to handle regression and
378 classification problems (Ellis et al., 2014). Because this can lead to high variance and
379 overfitting, bagging (bootstrapping aggregation) has been included. A variety of
380 decision trees are “trained” on extracted subsamples and the average value of splitting
381 points. However, trees generated by bagging may correlate with each other because
382 they are trained with similar samples. RF was developed to de-correlate trees, which
383 selects a subsample of a feature set for each tree, compelling trees to consider all
384 features (Svetnik et al., 2003). It has been increasingly used in environmental
385 applications and achieved superior results in comparison with other predictive
386 techniques (Zhu et al., 2020a; Zhu et al., 2020b).

387 Douglas et al. (2018a) used RF to predict the concentration of TPH in soil using Vis-
388 NIR data. The reported R^2 value and PRD were 0.68 and 1.85, which was higher than
389 that for PLSR (0.54 and 1.51, respectively). Wei et al. (2019) used RF to determine
390 soil As concentrations ($R^2 = 0.95$). Chakraborty et al. (2017) reported that the
391 performance of RF in predicting As levels was higher than PSR.

392 **4.4 Other algorithms**

393 Support vector machine (SVM) and linear discriminate analysis (LDA) algorithms
394 have also been explored in several studies (Lassalle et al., 2018; Shan et al., 2018).
395 SVM is an effective and classical classification algorithm, which can also be used for
396 regression. The LDA method is like linear regression but involves data classification.
397 Stazi et al. (2014) used SVM to predict the concentrations of As in agricultural soil
398 with 18 variables ($R^2 = 0.82$ and PRD = 2.03). Wei et al. (2019) reported As detection
399 with an R^2 value of 0.91 using 5 feature variables.

400 **5 Data acquisition**

401 **5.1 Soil data collection**

402 Because VIRS requires a calibration model, both soil contaminant concentrations (e.g.,
403 traditional physical sampling) and corresponding VIRS spectra data are
404 simultaneously collected to build a calibration model. Since soil properties can vary
405 significantly, soil samples may be needed to build unique calibration models for each
406 location studied. In some studies, soil samples have been prepared in the laboratory
407 with spiked soil samples (Pelta and Ben-Dor, 2019). There are no existing studies to
408 indicate the effect of soil sampling depth, however, it should be noted that airborne
409 and spaceborne spectrometers will only observe surface soils. Therefore, soil
410 sampling depth is usually limited to less than 20 cm (Chakraborty et al., 2010; Hou et
411 al., 2019).

412 **5.2 Spectral measurement**

413 Proximal sensing in the laboratory requires soil samples to be processed. Firstly,
414 debris, organisms and large gravel are removed before sieving (typically 2 mm mesh)
415 (Antonucci et al., 2012; Song et al., 2012). Some studies involved grinding soil to 38-
416 840 μm particle size (Liu et al., 2019b). The soil is then dried for 1-14 days, either at
417 room temperature or at a constant oven temperature (i.e., 40 °C) (Liu et al., 2019b;
418 Song et al., 2012). Some studies applied higher temperatures to speed up drying (e.g.
419 65 °C or 105 °C), but it should be noted that this could remove any volatile content
420 from the soil (Douglas et al., 2018b; Stazi et al., 2014).

421 Operational parameters used when scanning soil samples in the laboratory are detailed
422 in **Table 4**. In this process, the sample is placed on smooth surface (e.g., a glass slide
423 or petri dish) to diffuse reflection and gain a good signal-to-noise ratio (Okparanma et
424 al., 2013). Samples can be smoothed by saturating with distilled water to make a

425 slurry before drying (usually at 40 °C) (Wu et al., 2005) or simply smoothed over
 426 manually (Liu et al., 2019b). Measurements are conducted in a darkroom with a light
 427 source. In the case of Vis-NIR spectral measurements, the light source could be a
 428 tungsten filament lamp or a tungsten halogen lamp with a wavelength of 320-2500 nm
 429 (Sridhar et al., 2011). The light source is normally placed 30-70 cm above the soil
 430 (Shen et al., 2019) and the detector a distance of 10-120 cm (Pelta and Ben-Dor, 2019;
 431 Wei et al., 2019). Keeping the light source and detector in specific distances from soil
 432 ensures that the light can evenly irradiate the surface of the measured object, and
 433 maintains the sample in the FOV of the detector (Shi et al., 2014a). Before
 434 measurement, background adsorption is carried out with a white reference material,
 435 such as Spectralon, polytetrafluoroethylene (PTFE) or BaSO₄ (Kooistra et al., 2001).
 436 Additionally, each sample should be measured 3-10 times to reduce error (Douglas et
 437 al., 2018a).

438 **Table 4** Operational parameters used in the laboratory

Soil particle size (µm)	Lamp power (W)	lamp to soil (cm)	Detector to soil (cm)	Parallel test	Spectral calibration	Reference
840	500	--	--	5	white Spectralon	(Liu et al., 2019b)
--	500	40	15	10	white Spectralon panel	(Wang et al., 2014)
150	1000	50	15	--	--	(Hou et al., 2019)
< 2000	50	--	--	10	--	(Zhao et al., 2018)
--	50	60	--	5	white BaSO ₄ panel	(Todorova et al., 2014)
2000	--	30	--	10	white BaSO ₄ panel	(Ren et al., 2009)
--	5	--	--	4	NIST certified white reference	(Chakraborty et al., 2017)
149	50	40	--	10	--	(Chen et al., 2015)

439 There are two main approaches for spectral measurement in the field: portable
 440 spectral devices and airborne devices (see Section 3). Solar light intensity plays an
 441 important role in the quality of spectral data in the field. Because clouds reflect and
 442 absorb light at certain wavelengths, cloud cover should be minimal. Most studies are
 443 conducted with zero cloud cover and good visibility (e.g., 60 km) (Götze et al., 2016).
 444 Rainfall and high humidity (which condenses into water films) should be avoided
 445 (Soriano-Disla et al., 2014).

446 **5.3 Soil spectral libraries**

447 To expand the use of VIRS in soil monitoring, international efforts are being made to
448 establish spectral libraries. The first was established by the US National Soil Survey
449 Center in 2006, which contains 3768 samples from the US and 416 samples from
450 countries in Africa (125), Europe (112), Asia (104) and the Americas (75) (Brown et
451 al., 2006). Other institutions have published data including 21,500 spectra collected
452 from 4000 soil profiles in Australia, and the spectra of 20,000 samples collected
453 across Europe (Antoine et al., 2013; Rossel and Webster, 2012). In recent years,
454 Viscarra et al., (2016) compiled the Vis-NIR spectra of 23,631 soil samples collected
455 from 35 institutions around the world (Rossel et al., 2016).

456 **6 Statistical analysis methods and modeling strategies**

457 **6.1 Data pre-processing**

458 Data pre-processing is used to render data valid for model building. Kooistra et al.
459 (2001) reported that prediction accuracy and model quality was vastly improved after
460 pre-processing was carried out. Pre-processing usually involves outlier removal, noise
461 minimization and curve smoothing (Stazi et al., 2014).

462 Data outliers may originate from the sample itself or from experimental operations.
463 Removal of outliers is one of the keys to establishing stable and effective predictive
464 models. Outliers ought to be identified using a systematic method, such as principal
465 component analysis (PCA) (Shi et al., 2014b), with outliers identified by a score
466 matrix. Chakraborty et al. (2017) used PCA to pre-process spectral data, identifying
467 10 outliers.

468 A normal distribution is a prerequisite for some statistical methods, such as Pearson
469 correlation analysis. A normality test can be used to check data normality (e.g., a

470 Shapiro–Wilk test and Kolmogorov-Smirnov test showing a p of > 0.05). If the data is
 471 non-normal, transformations such as Box-Cox transformation and logarithmic
 472 transformation can be applied (Chakraborty et al., 2015; Chakraborty et al., 2010).

473 Noise in collected spectra will often relate to the roughness of the surveyed land or
 474 the observation angle (Zhao et al., 2018). Bands showing large amounts of noise can
 475 be removed (e.g., the initial and tail bands) (Hou et al., 2019; Pelta et al., 2019).
 476 Additionally, mathematical transformation methods can be adopted to reduce noise
 477 levels (**Table 5**).

478 **Table 5** Spectral transformation methods

Name	Objective
Mean centering	Eliminate the absolute absorption value of the spectrum, increase the difference between the sample spectra, and improve the robustness and prediction ability of the model
Orthogonal signal correction	Filter out signals that are not related to the concentration of the target pollutant in the spectrum
Standard Normal Variate (SNV)	Eliminate spectral errors caused by solid particle size and surface scattering
Multiplicative Scatter Correction	Same as SNV
Savitzky-Golay smoothing filter	Smooth the spectral curve to reduce noise
Derivative (first derivation and second derivation)	Correct the spectral baseline, eliminate interference from other backgrounds, and improve spectral resolution.

479 The relative effectiveness of data pre-processing has been analyzed in several studies.
 480 Liu et al. (2017) reported that reflectance data processed by logarithm and continuous
 481 removal increased the level of correlation with heavy metals. Chen et al. (2015)
 482 compared six pre-processing methods, finding that orthogonal signal correction most
 483 effectively reduced noise and improved prediction accuracy. However, reported
 484 optimal preprocessing methods have varied among studies, owing to the specific
 485 features of the spectral data (Chen et al., 2015; Kooistra et al., 2001). In practice, the
 486 use of multiple data preprocessing methods may be needed to determine the optimal
 487 approach.

488 **6.2 Variable construction**

489 Variables can be classified as two types: 1) raw or preprocessed spectral feature bands;
490 2) combined spectral data. The first type provides the most representative information
491 and higher model quality.

492 There are two methods for selecting feature bands: 1) linear regression; or 2) PCA.
493 For linear regression, reflectance correlation coefficients are calculated, with the
494 bands of highest value used as feature variables. The magnitude of correlation
495 coefficients can depend on the pre-processing method applied (Liu et al., 2017). In
496 PCA, uncorrelated principal variables are extracted explaining the highest variance.
497 Calibration models such as PCR and PSLR are constructed based on principal
498 components. Other prediction methods also use principal components as prediction
499 variables (e.g. RF) (Douglas et al., 2018a). The number of feature bands used for
500 modeling can range from one to hundreds (Liu et al., 2019b). Calibration R^2 values
501 will tend to increase as the number of feature variable increases, but overfitting may
502 occur at higher numbers. As a rule of thumb, the optimal number of variables is
503 around one third of the number of samples.

504 Combined spectral data can also serve as variables. For this, correlation analysis can
505 be used to select the most effective combination. Liu et al. (2019a) selected two
506 combinations of spectral data to predict Cd, Hg and As levels in soil. Some commonly
507 used spectral indexes for vegetation, such as the normalized difference vegetation
508 index (NDVI) and the infrared percentage vegetation index (IPVI), have been
509 identified as efficient predictors when using vegetation reflectance data (Shi et al.,
510 2014a). For example, Shi et al. (2016) employed different vegetation indices to
511 predict As levels ($R^2 = 0.75$).

512 **6.3 Model selection**

513 Various models for interpreting spectral data were introduced in Section 4. The model
514 function should be considered firstly in model selection. If the underlying relationship
515 is non-linear, algorithms such as PSR, neural network, RF should be used. PLSR and
516 stepwise regression could help to diminish the risk of collinearity (Chen et al., 2015;
517 Somsubhra et al., 2014). Model parameters should be considered carefully to avoid
518 overfitting. For instance, in the neural network algorithm, the number of neurons in
519 each hidden layer, the number of hidden layers and the selection of propagation
520 functions can influence model accuracy. Overfitting can occur if the model is too
521 complex. Models with different combinations of parameters should be built, tested
522 and compared. Additionally, models can be optimized with other advanced algorithms,
523 including the genetic, particle swarm optimization, least absolute shrinkage and
524 selection operator algorithms (Liu et al., 2019a; Wang et al., 2014). Such algorithms
525 help improve solution searching and avoid the problem of overfitting.

526 **6.4 Model validation**

527 Model validation is required to determine prediction error and evaluate model quality.
528 After initial data pre-processing, it is useful to split the data into two separate sets: one
529 set for model training and another for validation (Okparanma et al., 2014b). Usually,
530 around 70% of data is used for training and 30% for validation (**Table 6**).

531 Model performance can be evaluated systematically using cross-validation techniques.
532 In k-fold cross-validation, the data is randomly divided into k equal sized subsamples,
533 with one subsample retained as validation data. The remaining k-1 subsamples are
534 used as training data. The process is repeated k times, with each subsample used once
535 as the validation subset. The average error serves as the performance parameter (Liu
536 et al., 2017). The leave-one-out validation procedure is utilized when the number of

537 available samples is small (Ren et al., 2009). In this approach, n-1 samples are
 538 adopted to train the model and the remaining sample used for validation. The
 539 procedure is repeated n times and the root mean square error of cross-validation
 540 (RMSECV) serves as the performance parameter. Kooistra et al. (2001) used the
 541 leave-one-out approach to validate a PLS model with 69 samples.

542 **Table 6** summary of data use in selected studies

Training/validation sets	Corresponding statistical methods	References
75% (n=81) / 25% (n=27)	PLSR, RF, PSR	(Chakraborty et al., 2015)
70% (n=133) / 30% (n=57)	RF, PSR, ENET	(Chakraborty et al., 2017)
75% (n=225) / 25% (n=75)	Cubist	(Peng et al., 2016)
66% (n=63) / 34% (n=32)	PLSR	(Shi et al., 2014b)
80% (n=96) / 20% (n=24)	PLSR, PCR	(Tayebi et al., 2017)
78% (n=107)/22% (n=30)	PLSR	(Okparanma et al., 2014a)
75% (n=101)/25% (n=34)	PLSR, SVM	(Stazi et al., 2014)
67% (n=50)/33% (n=75)	MLR, BPNN, GA-BPNN	(Zhao et al., 2018)

543 Acronyms: GA-BPNN= genetic algorithm optimization of back propagation neural network; ENET=elastic net regression;
 544 MLR=multiple linear regression; PCR=principal component regression; PLSR=partial least squares regression; PSR=penalized
 545 spline regression; RF=random forest regression; SVM=support vector machine;

546 6.5 Model quality assessment

547 Model quality assessment is a key process in machine learning. Determination
 548 coefficients (R^2), the root mean square error (RMSE), residual prediction deviation
 549 (RPD), the ratio of performance to inter-quartile distance (RPIQ), standard error (SE)
 550 and bias, can all be used to quantitatively assess model quality (**Table 7**).

551 The R^2 value is the most widely used parameter for assessing model quality, which is
 552 the proportion of the variance in a predicted value (dependent variable) that is
 553 predictable from the independent variable. The closer R^2 is to 1, the better the fit of
 554 the model. Reported R^2 values in the reviewed literature ranged from 0.11 to 0.99.
 555 The RMSE value is the standard deviation of the residuals (prediction errors). The
 556 smaller the RMSE, the higher the accuracy of the model. PRD is a goodness-of-fit

557 parameter that is defined as the standard deviation divided by the RMSE, with values
 558 greater than 1.8 considered good (Douglas, Nawar, Alamar, et al., 2018; R. A. V.
 559 Rossel, Walvoort, Mcbratney, Janik, & Skjemstad, 2006). PRD values reported in the
 560 reviewed studies ranged from 0.51 to 6.23 (Somsubhra Chakraborty et al., 2017;
 561 Kemper & Sommer, 2002).

562 **Table 7** Evaluation parameters for determining model quality

Parameters	Equations
r (correlation coefficient)	$r = \frac{\sum_1^n (y_{i,pre} - \bar{y}_{pre})(y_i - \bar{y})}{\sqrt{\sum_1^n (y_{i,pre} - \bar{y}_{pre})^2} \sqrt{\sum_1^n (y_i - \bar{y})^2}}$
R ²	$R^2 = \frac{\sum_1^n (y_{i,pre} - \bar{y})^2}{\sum_1^n (y_i - \bar{y})^2} = 1 - \frac{\sum_1^n (y_i - y_{i,pre})^2}{\sum_1^n (y_i - \bar{y})^2}$
SE (standard error)	$SE = \sqrt{\frac{1}{n-1} \sum_1^n (y_i - y_{i,pre})^2}$
RMSE (Root mean square effort)	$RMSE = \sqrt{\frac{\sum_1^n (y_{i,pre} - y_i)^2}{n}}$
RPD	$RPD = \frac{y_{i,pre} - y_i}{\sqrt{\frac{\sum_1^n (y_{i,pre} - y_i)^2}{n}}}$
RSD (relative standard deviation)	$RSD = \frac{SD}{\text{mean}}$
Bias	$\text{Bias} = \sum_1^n \frac{y_{i,pre} - y_i}{n}$

563 y_i is the observed value of sample i ; $y_{i,pre}$ is the predicted value of sample i ; \bar{y} is the average of observed value; \bar{y}_{pre} is the
 564 average of predicted value.

565 **7 Summary and future research directions**

566 Soil contamination has become a global issue, and sustainable remediation strategies
 567 rely upon detailed mapping of soil pollutants (Hou, 2020; Hou et al., 2020a). VIRS
 568 combined with machine learning has been identified as a promising approach for
 569 detecting soil contamination remotely. Organic contaminants, including TPH and
 570 PAH, can be detected by VIRS due to characteristic molecular vibration and
 571 stretching (Song et al., 2012; Webster et al., 2016). Heavy metals are detected by

572 proxy, exploiting their relationships with various soil constituents, including SOM,
573 Fe-oxides and clay minerals. Because soil contaminants can affect plant physiology,
574 vegetation spectra can also be used to predict soil contamination levels (Shi et al.,
575 2014b; Wu et al., 2005).

576 Proximal, airborne and spaceborne sensors have all been used to collect VIRS spectral
577 data, with the ability to assess large areas in little time (Gholizadeh et al., 2018). After
578 collection, VIRS data requires preprocessing to diminish noise and remove the
579 outliers, which can be achieved with various mathematical methods. Traditional
580 physical sampling is also required for model calibration and validation. Various
581 machine learning algorithms have been used in spectral data interpretation, including
582 regression, neural network, and random forest. These methods can be improved by
583 other advanced algorithms, such as genetic algorithms. However, challenges still exist,
584 and further research is needed in various areas of VIRS based remote sensing in
585 combination with machine learning for soil contamination mapping.

586 Data collected by VIRS strongly relates to local soil properties (Rathod et al., 2013).
587 The need to calibrate with site specific samples is identified as a big drawback.
588 Libraries of soil spectra collected throughout the world are being established by
589 different institutions (Brown et al., 2006). As these libraries are furnished with greater
590 abundance of spectra data, further research is needed to determine if VIRS analysis
591 can be adequately calibrated with cataloged spectral data when surveying unsampled
592 sites.

593 VIRS could also serve as a complementary method to small-scale site investigations.
594 The sampling size could be reduced to predict contaminant levels at specific sites.
595 Although models would need to be built for contaminant prediction, this would be
596 attractive due to the low-cost of model building compared to traditional sampling

597 techniques. A drawback would be that VIRS is limited to surface monitoring, while
598 contaminated land site investigations are often concerned with the subsurface.

599 Combining VIRS with complementary technology may prove a promising research
600 direction. Recent studies that have lead in this direction include Hu et al. (2017a),
601 who explored a method involving VIRS combined with X-ray fluorescence (XRF) to
602 measure heavy metals rapidly. Xu et al. (2019) also utilized XRF and VIRS,
603 combined with the strategy of outer-product analysis and Granger–Ramanathan
604 averaging, to predict Cd contamination in soil and obtained an acceptable prediction
605 accuracy. Chakraborty et al. (2017) combined Vis-NIR diffuse reflectance
606 spectrometry with geostatistical analysis to identify As hotspots. Additionally, land
607 feature variables correlating to pollutant pathways could be used in combination with
608 spectral data. For instance, topography, land use type, distance to factories could be
609 incorporated.

610 Although several studies have shown that VIRS could be used for soil contamination
611 mapping, the majority have been conducted in the laboratory (Shi et al., 2014a).
612 Field-based studies have not proved as accurate as those in the laboratory. The
613 presence of vegetation, cloud and moisture can significantly influence the accuracy of
614 VIRS (Shi et al., 2014b). This challenge might be solved by advanced sensors with
615 higher signal-to-noise ratios and more effective spectral data preprocessing and
616 calibration with machine learning algorithms.

617 Spaceborne spectrometry enables us to achieve long-term temporal and large-scale
618 spatial monitoring of soil health. However, obstacles such as cloud and vegetation
619 coverage need to be dealt with. There have been a limited number of studies that
620 attempted to explore soil contamination through vegetation spectral data, most of
621 those were based on a single vegetation species (Lassalle et al., 2018; Shi et al., 2016).

622 The information provided by spectral data may vary from both vegetation species and
623 their growth stage, which should be further investigated.

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628

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Highlight

VIRS based detection serves as a sustainable way in mapping soil pollution.

The combination of machine learning enables VIRS to provide an accurate result.

Heavy metals and organic pollutants in soil can be monitored this way.

Fe-oxide, clay minerals and soil organic matters are influential factors.

Field-based study is requisite to improve this method.

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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