

1 **Assessment of the Growth in Social Groups for Sustainable Agriculture and Land**

2 **Management**

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38

39 **Abstract**

40

41 For agriculture and land management to improve natural capital over whole landscapes, social cooperation has
42 long been required. The political economy of the later 20th and early 21st centuries prioritised unfettered
43 individual action over the collective, and many rural institutions were harmed or destroyed. Since then, a wide
44 range of social movements, networks and federations have emerged to support transitions toward
45 sustainability and equity. Here we focus on social capital manifested as intentionally-formed collaborative
46 groups within specific geographic territories. These groups focus on 1) integrated pest management; 2)
47 forests; 3) land; 4) water; 5) pastures; 6) support services; 7) innovation platforms; 8) small-scale systems. We
48 show across 122 initiatives in 55 countries that the number of groups has grown from 0.5M (at 2000) to 8.54M
49 (2020). The area of land transformed by the 170-255M group members is 300 Mha, mostly in less-developed
50 countries (98% groups; 94% area). Farmers and land managers working with scientists and extensionists in
51 these groups have improved both environmental outcomes and agricultural productivity. In some cases,
52 changes to national or regional policy supported this growth in groups. Together with other movements, these
53 social groups could now support further transitions towards policies and behaviours for global sustainability.

54 **Collective Management of Natural Resources and Agriculture**

55

56 For as long as people and cultures have managed natural resources, collective action has produced
 57 systems of efficient and effective offtake as well as offering potential for sustaining natural capital
 58 and valued flows of ecosystem services (King, 1911; Kelly, 1995; Li Wenhua, 2001; Folke et al, 2010;
 59 FAO, 2016a). A wide range of different types of more sustainable agriculture and land management
 60 have recently been developed and implemented, most centring on the notion that making more of
 61 existing land by sustainable intensification and collective action can result in greater and synergistic
 62 co-production of food and ecosystem services (Foresight, 2011; Benton, 2015; FAO, 2016b; Maréchal
 63 et al, 2018; Pretty et al., 2018). Yet at the same time, agriculture and land management has also
 64 contributed to biodiversity loss, nutrient loading of the biosphere, climate forcing, depletion of
 65 aquifers and surface water, and pollution of air, soil and water (Rockstrom et al., 2011; 2017; IPBES,
 66 2019).

67

68 Humans have a long history of developing regimes and rules in both hunter-gatherer-forager and
 69 agricultural communities to protect and preserve natural resources in a steady state (Denevan,
 70 2001; Kelly, 2007; Cummings et al., 2014; Berkes et al, 2020). These diverse and location-specific rule
 71 systems form informal institutional frameworks within communities, legitimated by shared values.
 72 These social frameworks have regulated the use of private and common property throughout
 73 history, for instance by defining access rights and appropriate behaviours (Ostrom, 1990). Where
 74 these systems are robust, they can maintain productivity and diversity without the need for external
 75 legal enforcement: compliance derives from shared values and internal rules and obligations
 76 (Bagadion and Lorten, 1991; Gunderson and Holling, 2002; Agarwal, 2018). In some agricultural
 77 systems, there is evidence that social structures have sustainably governed resource use over
 78 millennia, for example subak irrigation groups in Bali (Yekti et al., 2017) and irrigation tank groups in
 79 Tamil Nadu (Mosse, 1992). Elsewhere, the structure of farms in landscapes has been shown to shape
 80 wider social and political participation, such as in the classic study of small and large farmed
 81 communities in California (Goldschmidt, 1946, 1978; Lobao, 1990): social connectedness, trust and
 82 participation in community life was greater when farm size was smaller.

83

84 However, many of these inherited and legacy institutions have been undermined by choices made
 85 by the modern agricultural political economy: social institutions have been ignored, coopted,
 86 undermined and deliberately broken (Wade, 1989; Cernea, 1991). The emergence of neo-liberal
 87 forms of economic development prioritised the competitive choices and actions of individuals rather
 88 than cooperation (Uphoff, 1992; Dorling, 2020), and framed the approaches to technology adopted
 89 during the green revolution (Conway and Barbier, 1990). In some cases, state institutions were
 90 imposed on farmers as the price for obtaining modern varieties, fertilizers and pesticides, such as in
 91 Malaysia and the Philippines (Palmer, 1976); in others local institutions lost power and withered,
 92 such *kokwet* water systems in Kenya (Huxley, 1960), *warabandi* in Pakistan (Bandaragoda, 2008),
 93 common property resources in India (Jodha, 1990). The collapse of institutions allowed over-
 94 extraction by the unfettered actions of individuals (e.g. of groundwater in Gujarat: Shah, 1990).
 95 Empty and paper institutions were also formed by states without local participation, such as for
 96 grazing in China (Ho, 2016) or irrigation in Thailand (Ricks, 2015).

97

98 Further changes to social structures of communities were fostered by the conditional policies of
99 structural adjustment adopted by international finance institutions from the 1970s and 1980s
100 resulting in the destruction of public institutions (Crisp and Kelly, 1999; Forster et al., 2019), and by
101 the adoption of the Training and Visit (T&V) system of agricultural extension (Benor et al., 1984). The
102 T&V system was built on a linear diffusion model (or transfer of technology), first implemented on
103 recommendation of the World Bank in 1967, and resulting in disbursement to 512 projects valued at
104 US\$3 billion over 1977-1992. Structural adjustment brought free-market policies to 135 countries
105 between 1980-2014, causing severe impacts on inequality (Forster et al., 2019). At the same time,
106 forestry management had also become centralised into state and private enterprises that took little
107 account of existing cultural institutions and norms of co-management (FAO, 2016a). This era has
108 been called the height of the “capitalocene” (Haraway, 2015; Moore, 2017).

109

110 Concerns over the cost of ignoring local institutions and group approaches emerged, with project
111 evaluations showing that the creation of farmer and rural institutions led both to sustained
112 performance after project completion and to more efficient and fair use of natural resources
113 (Cernea, 1987; Ostrom, 1990; Uphoff, 1992). New forms of participatory inquiry and systems of
114 collective learning and action were field-tested, putting farmer knowledge and capacity to
115 experiment at the centre of practices for improvement (Chambers, 1989; Pretty, 1995; FAO, 2019).
116 By the mid-1990s, the linear diffusion model was increasingly seen as ineffective: non-adopters had
117 been termed laggards, extension staff had become poorly motivated, and research systems had
118 been prevented from becoming learning systems (Antholt, 1994).

119

120 Since then, a wide range of new forms of social organisation have been intentionally formed to
121 support transformations in agricultural landscapes. These have sought to build political strength for
122 land rights, to protect against resource extraction, to increase market strength and power (such as
123 through formal cooperatives), to link farmers and consumers through food chains, and to re-
124 establish forms of co-management for natural resources (Ostrom, 1990; Berkes, 2020). All these
125 structures are forms of social capital (Coleman, 1990), in which it is recognised that personal
126 relations of trust, reciprocity and mutual obligation can result in actions and change that benefit
127 larger numbers of people and farmers, particularly those ignored or disadvantaged by past forms of
128 development. In some cases, these have been supported by novel public policies that reversed
129 decades of state control by devolving decisions to local communities (Bawden, 2011; FAO, 2016c;
130 Rahman, 2019); in others they have been organised to prevent the actions of the state (Veltmeyer,
131 2018).

132

133 Here we assess the emergence of social groups within particular geographic territories, with a focus
134 on group-based learning and co-management for integrated pest management, forest, watershed
135 and irrigation, and groups and platforms for microfinance, innovation and direct connections with
136 consumers. We seek to address one key research question: to what extent have efforts to form
137 social groups for agriculture and land management within defined territories resulted in the
138 formation of persistent collective groups, and do the worldwide numbers indicate improved
139 possibilities for transitions toward sustainable agricultural development that will lead to improved
140 outcomes for farmers and the environment?

141

142

143 **The Emergence of Social Groups in Support of Sustainability**

144

145 A wide range of advances in agricultural and land sustainability have been made in the past two
 146 decades, with a range of terminologies and priorities. These include calls for a doubly green
 147 revolution (Conway, 1997), for alternative agriculture (NRC, 1989), for an evergreen revolution
 148 (Swaminathan, 2000), for agroecological intensification (Garbach et al., 2016) and for agroecological
 149 movements (Giraldo and Rosset, 2017), for evergreen agriculture (Garrity *et al.*, 2010), for save and
 150 grow agriculture (FAO, 2011, 2016), and for sustainable intensification (Godfray et al., 2010; Smith,
 151 2013). All of these have in common a desire to optimise the use of natural, social, human and
 152 financial capital while also being vigilant about the direct effects of agricultural and land
 153 management practices on these assets. Sustainable forms of management thus seek to use and
 154 enhance these capitals and reduce the costs of externalities on ecosystems and human health. Most
 155 of these also emphasise outcomes applying to any size of enterprise, and not predetermining
 156 technologies, production type, or particular design components (Weltin et al., 2018).

157

158 A recent global assessment of sustainable intensification (Pretty et al., 2018) indicated that systems
 159 of agricultural management undergoing fundamental redesign produce beneficial outcomes over
 160 sustained periods of time across differing ecological, economic, social and political landscapes.
 161 Redesign is, however, as much a social and institutional challenge as it is a technical one (Gliessman
 162 and Rosemeyer, 2009), as there is a need to create and make productive use of human capital in the
 163 form of knowledge and capacity to adapt and innovate, and social capital to promote landscape-
 164 scale change, such as for positive contributions to biodiversity, water quantity and quality, pest
 165 management and climate change mitigation. As ecological, climatic, and economic conditions
 166 change, and as knowledge evolves, so must the capacity of farmers and communities improve to
 167 allow them to drive transitions through processes of collective social learning (Hill, 1985).

168

169 Social capital has become a term used to describe the importance of social bonds, trust and
 170 reciprocity, and collective action through institutions (Putnam, 1995). It was defined by Coleman as
 171 “the structure of relations between actors and among actors” that encourages productive activities
 172 (Coleman, 1990); by Bourdieu (1986) as “a durable network of institutional relationships of mutual
 173 acquaintance and recognition..., to membership of a group, which provides each of its members with
 174 the backing of collectively-owned capital”; and by Bhandari and Yasunobu (2009) as a
 175 “multifunctional phenomenon comprising stocks of social norms, values, beliefs, trusts, obligations,
 176 relationships, friends, memberships, civic engagement, information flows and institutions that
 177 further cooperation and collective action for mutual benefits.”

178

179 These aspects of social infrastructure act as resources for individuals to realise personal and
 180 community interests. As social capital lowers the costs of working together, it should facilitate
 181 cooperation. Individuals have the confidence and the means to invest in collective activities,
 182 knowing that others will do so too. They are also less likely to engage in unfettered private actions
 183 that result in resource degradation, though this is no guarantee that tragedies of the commons will
 184 not occur (Wade, 1989). Social capital can also have a “dark side”, with exclusion and elite capture
 185 resulting in non-democratic outcomes for some (Putzel, 1997; Reddy and Reddy, 2005; Verma et al.,
 186 2019). It may also be deployed deliberately to offset the existing structures of states and
 187 international institutions (Forssell and Lankowski, 2015). The literature emphasises the importance

188 of building relations of trust, reciprocity and exchange,, agreeing common rules and sanctions, and
 189 developing connectedness through groups (Pretty, 2003; Veltmeyer, 2019).

190

191 Social capital is thus generally seen as a prerequisite for the sustainable management of resources,
 192 and for the development of approaches and methods across all geographic territories (Waddington et
 193 al., 2014; Leisher et al., 2016; Agarwal, 2018). It does not, though, guarantee sustainable outcomes. It
 194 is common for fishing communities to want to believe that fish stocks are not being eroded, even
 195 though the evidence might indicate otherwise. Not all farmers know that the application of
 196 insecticides harms populations of beneficial natural enemies. In the Netherlands, farmers recently
 197 organised a backlash to demonstrate against environmental objectives and the addressing of climate
 198 change by farm policy (van der Ploeg, 2020). It is also true that not all transformation towards
 199 sustainability requires the formation of local social capital: a simple intervention heuristic in Vietnam
 200 (“no-spray in first 40-days of rice cultivation”) resulted in farmers cutting pesticide use by 50%
 201 (Escalada and Heong, 2004); and the aerial releases of parasitoid wasps (*Anagyrus lopezi*) in Africa to
 202 control cassava mealybug did not require active farmer involvement (Wyckhuys et al., 2018).

203

204 Many forms of social capital have emerged in support of transitions towards greater sustainability
 205 and equity. These include transnational farmer movements, such as La Vía Campesina with 200M
 206 families represented worldwide (Martínez-Torres and Rosset, 2014), national land rights and anti-
 207 land grab movements, such as MST and the resettlement of 0.37M families on 7.5 Mha over ten
 208 years (Movimento dos Trabalhadores Rurais Sen Terra: Veltmeyer, 2018), national rural unions
 209 (Welch and Sauer, 2015) and agroecology and social movements (Veltmeyer, 2019). In some cases,
 210 these have led to active conflict and “peasant wars” (Giraldo and Rosset, 2017; Levien et al., 2018).
 211 At the same time, organisation around food has advanced in the form of food sovereignty and
 212 justice movements (McMichael, 2013; Edelman et al., 2014) and alternative food networks (AFNs)
 213 and alternative food movements (AFMs), particularly from urban food production landscapes and
 214 many involving consumers as well as growers/farmers (Desmarais and Wittman, 2014; Forssell and
 215 Lankowski, 2015; Si et al., 2015; Hoey and Sponseller, 2018; Plieninger et al., 2018; Saulters et al.,
 216 2018).

217

218 Our focus here is on a subset of this social capital, specifically social groups within defined
 219 geographic territories (Ostrom, 1990; Flora and Delaney, 2012). We use numbers of established
 220 groups as a proxy for social capital within communities, as each provides the context for innovation,
 221 negotiation and experimentation, bringing together individuals with different skills and knowledges.
 222 Such groups also require forms of engagement by professionals (researchers, extensionists, advisers)
 223 largely different from those dominant in the previous era of transfer of technology.

224

225 The concept of system redesign implies the establishment of new knowledge economies for
 226 agriculture and land (MacMillan and Benton, 2014). It is clear that the technologies and practices
 227 increasingly exist to provide both positive food and ecosystem outcomes: new knowledge needs to
 228 be co-created and deployed in an interconnected fashion, with an emphasis on ecological and
 229 technological innovation (Willyard et al, 2018). There have been many adaptations in terminology
 230 for these systems of co-learning: farmer field school, learning lab, science and technology backyard
 231 platform, science field shops, junior life schools, innovation platform, farmer-led council, agro-
 232 ecosystem network, farmer cluster network, joint liability group, landcare group and epistemic
 233 community. What is common to these social innovations has been an understanding that individual

234 farmers, scientists, advisors and extensionists also undertake a transformative journey. Their
 235 worldviews are challenged and change, resulting in the formation of broader epistemic communities
 236 of common interest (Norgaard, 2004), that utilise, synthesise and apply knowledge and skills from
 237 many sources. For sustainable outcomes, cognitive social capital in the form of beliefs and
 238 worldviews also changes.

239

240

241 **Assessment Methods**

242

243 For this assessment of territory-based social groups, we have analysed agriculture and natural
 244 resource systems worldwide, drawing upon both published literature and the knowledge and
 245 networks of the co-authors. We searched online research platforms for published literature in
 246 Agricola (USDA National Library), Agris (UN FAO), CAB Abstracts, Google Scholar and Google, Scopus,
 247 and Web of Science for published records over the past ten years (web links listed in references),
 248 and drew on the collective knowledge of the assessment team and their personal contacts regarding
 249 further unpublished material from government and non-government initiatives. Projects and
 250 programmes in all countries were eligible. We selected terms for searches drawn from our
 251 knowledge of programmes in the field: social + capital; sustainable + agriculture; sustainable +
 252 intensification; joint/participatory + forest + management; agroforestry + groups; integrated + pest +
 253 management; farmer + field + schools; watershed + management; conservation + agriculture;
 254 irrigation + management; water + user + groups; pasture/grazing + management;
 255 microcredit/microfinance + groups; innovation + platforms; participatory + methods/approaches;
 256 farmer + organisations/institutions. A number of international analyses were drawn upon (e.g. of
 257 farmer fields schools: FAO, 2019; van den Berg et al., 2020a; of community forestry: FAO, 2016a).

258

259 We organised the findings into eight functional categories of redesign, each with different types of
 260 enabling social intervention (Table 1). We report on data gathered from 122 initiatives in 55
 261 countries across six continental regions, and have applied no lower limit to the number of groups
 262 reported per initiative. Of the 55 countries, 13 were in industrialised and 42 in less-developed
 263 countries (see endnote on terminology).

264

265

266 **Table 1. Eight categories of social group interventions for sustainable agriculture and land management**

Category	Social intervention types
1. Integrated pest management	Farmer field school (FFS), push-pull systems of IPM, IPM clubs and FFS alumni groups
2. Forest management	Joint forest management (JFM), community based forestry (CBF), participatory forest management (PFM), agroforestry
3. Land management	Watershed and catchment management, conservation agriculture (CA), integrated biodiversity, farmer clusters
4. Water management	Participatory irrigation management (PIM), water user groups (WUGs), farmer water schools, farmer-led watersheds
5. Pasture and range management	Management intensive rotational grazing groups, veterinary groups, dairy groups, agropastoralist field schools
6. Supporting services	Microfinance groups, multifunctional farmer and non-farmer groups

7. Innovation platforms	Research platforms, co-production groups, science and technology backyard platforms, field science labs, joint liability groups
8. Intensive integrated systems	Community supported agriculture groups, biogas-pig-vegetable groups, aquaculture

267 Note: previous assessments of social capital used 5 categories (mapping here onto 1-4, 6): Pretty and Ward (2001). A global assessment of
 268 sustainable intensification used 7 categories (mapping here onto 1-5, 7-8: Pretty et al., 2018).

269
270

271 We gathered data on numbers of social groups, numbers of farmer members, and numbers of
 272 hectares under interventions for co-management. We have excluded data on groups where there is
 273 evidence of misreporting or the phenomenon of paper or empty groups, those reported to meet
 274 political targets, but which do not exist on the ground (Ravindranath and Sudha, 2004; Ricks and Arif,
 275 2012; Ho, 2016; Ricks, 2016). An unintended outcome of positive policy support for group formation
 276 has led to some inaccurate reporting to meet targets in some locations, such as for participatory
 277 irrigation management and forest co-management. We have also not made assumptions about
 278 intended adoption: for example, an EU Directive (2019) now requires all farms to use integrated pest
 279 management, but preparations for implementation have not yet led to significant uptake of
 280 agricultural practices that significantly benefit ecosystem services (Buckwell et al., 2014). We have
 281 not included reporting of area of land under co-management where group data does not exist, such
 282 as for large tracts of forest now under community-based forestry (FAO, 2016a).

283

284 As indicated above, we also did not include here analysis of non-territorial social capital in the form
 285 of cooperatives, farmer organisations, federations and movements. Many rural and agricultural
 286 cooperatives (focusing on milk processing, input supply, collective marketing and sales) are not
 287 geographically-based. In the USA, there are 2047 rural cooperatives (though down from 10,040 in
 288 1950) (USDA, 2018), in Brazil 1620 (with 1M members) (Dias and Teles, 2018), in China 2.2M (MARA,
 289 2019), and in the UK 420 co-ops (6% of UK farm market share by value; market shares by farm co-
 290 ops are higher in the Netherlands (68%), France (55%) and Spain (45%)) (Cooperatives UK, 2018). In
 291 a number of countries, dispersed clusters of farmers collaborate on common research interests, but
 292 may not result in natural capital improvements within whole territories. In India, there are >3000
 293 Farmer Producer Organisations with 0.3M members, but these too tend not to be geographically
 294 based (SOIL, 2017; Verma et al., 2019). Federations, food networks and movements that can be
 295 effective at transforming agriculture and food systems across and within countries, often at national
 296 and international levels, such as in Canada (Leykoe, 2014; Desmarais and Wittman, 2014), the EU
 297 and Japan (Plieninger et al., 2018), across 81 countries (182 member groups) for La Vía Campesina
 298 (La Vía Campesina, 2019), and 71 countries (258 member groups) for the International Partnership
 299 for the Satoyama Initiative (Kozar et al., 2019). These forms of social capital are not included in this
 300 assessment, but comprise a rich field of study for further work on transitions towards sustainability
 301 in agriculture and land management.

302

303 Layers of federations can be important in ensuring local priorities reach upwards to influence policy
 304 and practice. In Andhra Pradesh, for example, the 830,000 women's self-help groups (SHGs) are
 305 organised into village level federations (of 15-30 SHGs) each, and these into distinct federations of
 306 40-60 village organisations (Kumar, 2017; Bharucha et al., 2020). In Japan, 1000 teikei purchasing

307 groups are linked to organic and natural farming and have organised into federations, with some
308 leaders coming to be elected as members of parliament (Kondoh, 2015; iPES-Food, 2016).

309

310 This assessment of social group formation also does not imply that numbers of farms and hectares
311 are fixed: on the ground, there will be a flux in numbers resulting from both adoption and dis-
312 adoption. This may arise from farmer choice and agency, but equally from the actions of vested
313 interests, input companies, consolidation of small farms into larger operations, changes in
314 agricultural policy or shifts in market demand, and discrepancies between on-paper claims and what
315 farmers have implemented. We have excluded data on groups formed during the assessment period,
316 but since abandoned by changes in development assistance funding and/or national priorities. In the
317 1990s, for example, 4500 catchment groups were formed in Kenya by the Ministry of Agriculture;
318 the catchment approach ended in 2000, evolved into a National Agriculture and Livestock Extension
319 Programme with common interest groups, but since then the focus has changed away from these
320 groups (Pretty et al., 2011). In South East Asia, some recorded participatory irrigation groups later
321 also become ineffective (Ricks, 2015, 2016).

322

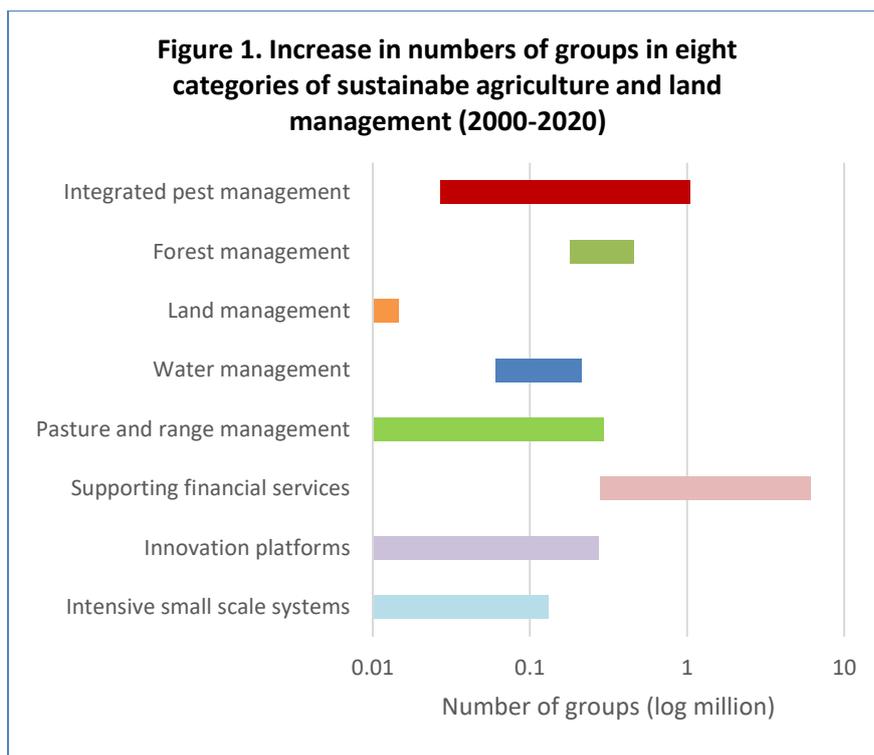
323

324 **Outcomes: Group Numbers**

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326 We organised the findings into eight categories of agricultural and land management intervention
327 that are contributing to the emergence of new knowledge economies (Table 1). Across the eight
328 categories and 122 distinct initiatives, we recorded 8.54M intentionally-formed social groups
329 worldwide. These comprise groups collectively managing 299Mha of agricultural and non-
330 agricultural land. This represents a growth in these types of groups from 0.005M at the end of the
331 1980s (primarily in participatory irrigation management) to 0.48M in 2001 (Pretty and Ward, 2001;
332 Pretty, 2003), and now to 8.54M by 2020 (exponential fit: $R=0.982$). Figure 1 shows the marginal
333 increase between 2000-2020 in groups in each of the eight categories.

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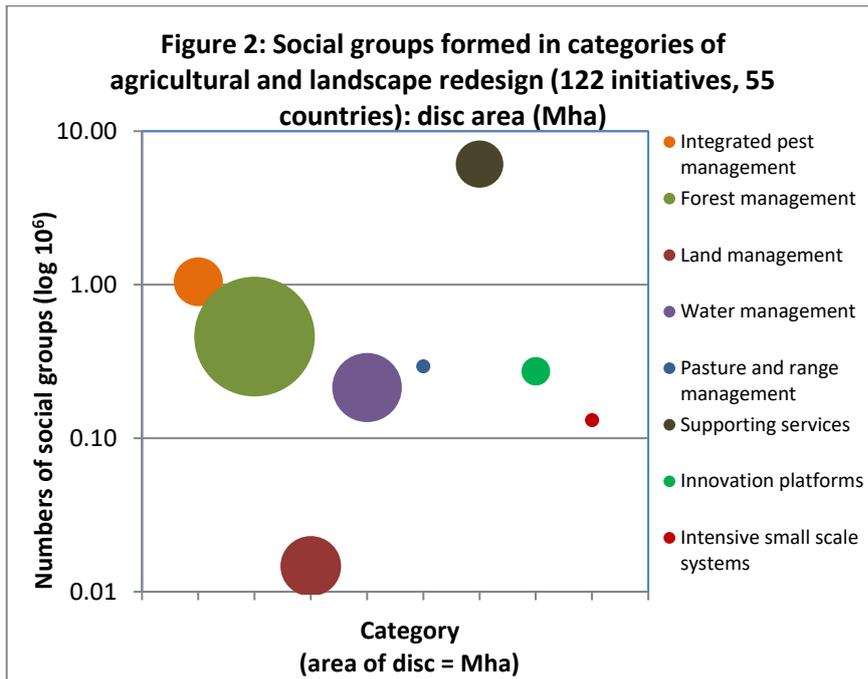
337 Most social groups surveyed contain 20-25 members (range 15-30), with occasional numbers
338 progressing toward 100 (e.g. for microfinance groups). Group sizes have remained constant over
339 time. Small groups provide for more effective communicative interactions and permit the agreement
340 of common goals and practices, the sharing of planning and evaluation, and the agreement with
341 norms and rules that work for all. As groups progress to larger size, they are generally more effective
342 if divided and then federated. Small groups of approximately 25 members are generally able to
343 survive with the presence of small numbers of free-riders (those that do not actively contribute to
344 collective outcomes, but benefit from these outcomes) (Dannenberg et al., 2015). Using the mean
345 membership of 20-30 people per group, this assessment suggests that there are 170-255M members
346 of social capital groups providing both private and public benefits. Though not all of these are
347 farmers (e.g. non-land owners with rights to jointly managed forests), a midpoint (assuming
348 membership of 25 per group) represents 39% of all 570M farms worldwide (Lowder et al, 2016). The
349 distribution of groups and areas is shown in Table 2 and Figures 2-3. The majority of groups have
350 been formed in less-developed countries (98.2%), as is the area (93.6%). The distribution of groups
351 across six continental regions is shown in Figure 2.

352

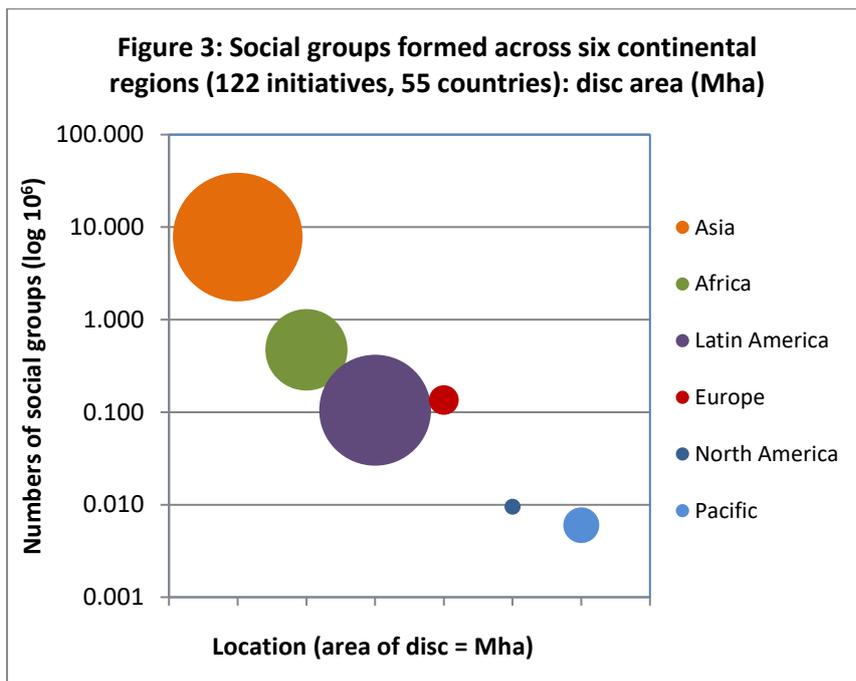
353 **Table 2. Social groups and land area across eight categories of agriculture and land management (2020)**

Categories	Social groups (M)	Area (Mha)
Integrated pest management	1.045	24.98
Forest management	0.459	150.39
Land management	0.015	38.03
Water management	0.214	50.16
Pasture and range management	0.294	2.08
Supporting services	6.105	23.41
Innovation platforms	0.273	8.51
Intensive small-scale systems	0.131	2.08
Totals	8.536	299.63

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Outcomes: Documented Impacts

363 Across all literature, there is considerable evidence of improvements within agroecosystems,
364 landscapes and farm household economies as a result of the formation of intentional social capital
365 within geographic areas (Ostrom, 1990; Cernea, 1991; Uphoff, 1992; Waddington et al., 2014;
366 Leisher et al., 2016; Agarwal, 2018). Comparisons have been made between projects/programmes

367 working with groups compared with individuals, with prior degraded natural systems (e.g. forest or
 368 eroded landscapes), with prior agroecosystems harmed by compounds used in agriculture (e.g.
 369 harmful pesticides), and with agroecosystems with legacies of low productivity (e.g. that have not
 370 seen productivity improvements in recent decades).

371

372 The overwhelming evidence from the field and reported in the published literature is that collective
 373 management of resources can lead to redesign and also result in net increases in system
 374 productivity. There have been few counterfactual examples, such as where groups could have been
 375 formed to increase resource exploitation or extraction (e.g. water or forest capture). In Malawi, for
 376 example, where village management committees were imposed without taking account of existing
 377 institutional arrangements for resource management, it resulted in clearance of trees, heightened
 378 conflict within communities, and destruction of existing institutions (Kamoto et al, 2013). There are
 379 also other institutions, corporations and groups of individuals engaged in resource depletion to
 380 serve private and generally short-term interests.

381

382 It is also clear that any social capital established in the form of groups can lead to sub-optimal
 383 outcomes for certain population sub-groups. By definition, groups comprise members, and those
 384 outside groups may be excluded from the benefits of membership. This phenomenon of “the dark-
 385 side of social capital” (Coleman, 1990; Putzel, 1997) has seen both elite capture (the already wealthy
 386 or more powerful individuals using groups to strengthen personal benefit at the expense of others),
 387 exclusion (group membership restricted to only some members of a population or location), and
 388 negative selection (where individuals are actively excluded). Nonetheless, the majority of the
 389 literature points to the benefits of social capital to i) individuals, groups/communities, ii) agricultural
 390 systems, and iii) wider landscapes and ecosystem services.

391

392 i) To individuals, groups/communities: evidence of changes to personal capabilities and
 393 growth, to worldviews, and locally-generated resource availability:

394

- 395 • Emergence of new leaders of groups, especially by women (Agarwal, 2018), and changes in
 396 the relationships between women and men (Westerman et al., 2005);
- 397 • Positive role of women leaders in group effectiveness and conflict resolution over common
 398 resources (Coleman and Mwangi, 2013);
- 399 • Changes in the worldviews of farmers (Campbell et al., 2017; van den Berg et al., 2020a), and
 400 of scientists and extensionists working with farmers in novel innovation platforms (Zhang et
 401 al., 2016);
- 402 • Increases in the savings and repayment rates of members of microfinance groups (BRAC,
 403 2019; Rahman, 2019).

404

405 ii) To agricultural system net farm productivity: evidence of increased system outputs and
 406 reduced input needs:

407

- 408 • Increases in crop productivity, such as by farmer field schools on all crops (Chhay et al, 2017;
 409 FAO, 2019), and in grazing and pasture productivity (NRC, 2010);
- 410 • Increases in tree and agroforestry cover on farms (Reij et al., 2008; Garrity et al., 2010;
 411 Bunch, 2018);

- 412 • Reductions in the use of pesticides in integrated pest management (Yang et al., 2014; Pretty
413 and Bharucha, 2015);
- 414 • Adoption of organic and zero-budget systems (Reganold and Wachter, 2016; Bharucha et al,
415 2020).

416

417 iii) To natural capital and key ecosystem services: evidence of increased productivity and
418 reductions in use of harmful or potentially-harmful compounds and releases:

419

- 420 • Increases in irrigation water availability and efficiency of use (Zhou et al., 2017; Ricks, 2016);
- 421 • Improvements in forest productivity of wood, forage and secondary products (Ravindranath
422 and Sudha, 2004; FAO, 2016a);
- 423 • Increases in carbon sequestration in soils by conservation agriculture (FAO, 2011; Lal, 2014);
- 424 • Reductions in surface water flows and soil erosion (Reij et al., 2008).

425

426

427 **Key Findings for the Eight Functional Categories**

428

429 *Category 1: Integrated pest management (IPM)*

430

431 There are 1.045M farmer field school and IPM groups covering 25 Mha (FAO, 2016c, 2019; van den
432 Berg et al, 2020a, b). Notable country leads include Indonesia, Burkina Faso, Kenya, Sri Lanka, China
433 and Vietnam. Integrated Pest Management (IPM) is the integrated use of a range of pest (insect,
434 weed or disease) control strategies in a way that reduces pest populations to non-economically
435 important levels, minimizes risks to human and animal health, and can be sustainable and non-
436 polluting. Inevitably, sound application of IPM is a more complex and knowledge-intensive process
437 than relying on spraying of pesticides: it requires a high level of human capital in the form of field
438 observation, analytical and ecosystem literacy skills and understanding of agro-ecological principles;
439 it also benefits from cooperation between farmers.

440

441 Farmer-field schools (FFS) (also “schools without walls”) centre on groups of up to 25 farmers
442 meeting weekly during the entire crop season to engage in experiential learning (Braun and
443 Duveskog, 2009). The roots of FFS are in adult education using discovery-based learning, particularly
444 drawing on the work of Freire (1970): the aims are thus co-learning and experiential learning so that
445 farmers’ innovative capacity is improved. FFS are not only an extension method but also increase
446 knowledge of agroecology, problem-solving skills, group building and political strength. Over the
447 years, the FFS has evolved to include crops, livestock, agroforestry and fisheries. Meta-analyses and
448 in-country level analyses have shown increases in farm productivity, reductions in pesticide use, and
449 improvements in ecological literacy (Settle and Hama Garba, 2011; Waddington et al., 2014; Yang et
450 al., 2014; Pretty and Bharucha, 2015; van den Berg et al., 2020b). Other innovations in IPM using
451 close farmer engagement in groups include push-pull redesign in East Africa, with 130,000 farmer
452 adopters (Khan et al., 2016; FAO, 2016b). Elsewhere, e.g. in Cambodia, 270 farmer field schools
453 produced a range of innovations to increase both wet and dry season rice yields (Chhay et al, 2017).
454 Nonetheless, it is difficult to overcome the fears many farmers have: that insects always cause harm,
455 and compounds banned are sprayed at night (Palis et al., 2006; Hoi et al., 2016).

456

457 *Category 2. Forest management*

458

459 There are 4000 Mha of forests globally, with 28% now reported under various forms of community
 460 management (FAO, 2016a), variously termed participatory conservation, joint forest management,
 461 community forestry, forest user cooperatives, forest user groups, forest farmer co-ops and forest
 462 protection councils (Nightingale and Sharma, 2014). All are designed to increase the role of local
 463 people in governing and managing forest resources, including inherited indigenous practices as well
 464 as government-led. Only those locations where numbers of groups can be identified have been
 465 included here: this category contains 0.41M groups covering 150 Mha, and includes a number of
 466 initiatives involving redesign of agro-ecosystems with trees and shrubs (Garrity et al, 2010).
 467 Significant country innovations include the establishment of forest protection committees in India
 468 and Nepal, following key policy changes in 1990 and 1993 respectively (Paudel, 2016; Fox, 2018). In
 469 both China and Vietnam, forest farmer cooperatives (FFCs) have issued land use certificates to
 470 250,000 FFCs, and are now managing 73 Mha of local forest. Some 30,000 forest user groups have
 471 been formed in Mexico. Other countries with significant uptake of community-based forestry include
 472 Tanzania, Niger, Burkina Faso, DR Congo and Ethiopia, and together with fertiliser tree groups in
 473 Malawi and Zambia.

474

475 Where successful, positive outcomes include increased forest cover on landslide-risk slopes, fewer
 476 patches and greater margins of forest cover, reduced incidence of fire and use of slash and burn,
 477 more wood value, better incomes for households (Pagdee et al., 2006; Sundar, 2017), and improved
 478 health and wider social benefits (Tirivayi et al, 2018). Increased tree cover in the Sahel has amended
 479 local climate, increased wood and tree fodder availability, and better water harvesting (Sendzimir et
 480 al, 2011; Bunch, 2018). Elsewhere, there is evidence of forest departments, such as in some Indian
 481 states, seeking to maintain control over local groups, including examples of rent-seeking (Behera and
 482 Engel, 2006). Nonetheless, despite difficulties, old attitudes have changed, as foresters came to
 483 appreciate the regeneration potential of degraded lands, and the growing satisfaction of working with,
 484 rather than against, local people (Ravindranath and Sudha, 2004).

485

486 *Category 3. Land management*

487

488 This category has seen the establishment of 0.015M groups on 37.2 Mha, and includes the largest
 489 national initiative in industrialised countries (Landcare in Australia: Campbell et al., 2017) and the
 490 mobilisation of social capital in watersheds above New York City to ensure the production of clean
 491 drinking water, resulting in savings of foregone engineering costs (Pfeffer and Wagenet, 2011).
 492 Following decades of limited success at often enforced soil and water conservation technologies,
 493 governments and NGOs from the late 1980s came to realize that the protection of whole watersheds
 494 or catchments could not be achieved without the engagement of local people. This led to an
 495 expansion in programmes focused on micro-catchments, areas of usually no more than several
 496 hundred hectares, in which people can trust each other. Where successful, programmes report
 497 public benefits in the form of groundwater recharge, reappearance of springs, increased tree cover
 498 and microclimate change, increased common land revegetation, and benefits for local economies. A
 499 number of integrated watershed development programmes did, however, turn to enforcement or
 500 payment for participation, or led to the greater extraction of groundwater (Blomquist and Schlager,
 501 2005; Bharucha et al., 2014).

502

503 Conservation agriculture (CA), using zero-tillage to improve soil health, has grown to cover over 180
 504 Mha worldwide (Pretty et al., 2018), and covers >50% of cropland in Australia and southern Latin
 505 America, and 15% in North America. A number of countries have built territory-based social capital
 506 (e.g. in the maize mixed farming system of East and Southern Africa, and in the rice-wheat farming
 507 systems of South Asia), though more often regional and national networks have been the vehicle for
 508 engagement and spread. In the UK, 452 catchment-based projects have delivered collaboration
 509 across farms, citizen scientists, wildlife experts and water companies, resulting in the engagement of
 510 28,000 people, the reduction of pollution, riverbank restoration and habitat creation, and removal of
 511 fish barriers (CaBA, 2018). In the USA, a number of farmer-led watershed councils are advancing
 512 redesign, each usually with small numbers of farmers (FLWC, 2015), and in the UK, 120 Farmer
 513 Clusters have been formed to address landscape scale transformations to improve biodiversity
 514 (GWCT, 2019).

515

516 *Category 4: Water management*

517

518 This category has seen the establishment of 0.213M water users' associations, participatory
 519 irrigation management groups, water user schools and farmer managed irrigation systems on 48.7
 520 Mha worldwide. Notable country examples include the Philippines (3100 groups managing 82% of
 521 irrigated area (Bandyopadhyay et al, 2009), Sri Lanka, India, Nepal, Mexico, Turkey, China and
 522 Vietnam (Uphoff, 1992; Rap, 2006; Yildiz, 2007). Once again, experiments in participatory irrigation
 523 management and the establishment of water user groups and associations began in the 1980s, with
 524 many building upon existing legacy systems (De los Reyes and Jopillo, 1986). Without regulation or
 525 collective control, water tends to be overused by those who have access to it first, resulting in
 526 shortages for tail-enders, conflicts over water allocation, and waterlogging, drainage and salinity
 527 problems. The same challenge exists for watersheds crossing national boundaries (e.g. the Mekong).
 528 Where social capital is well-developed, then groups with locally-developed rules and sanctions are
 529 able to make more of existing resources than individuals working alone or in competition.

530

531 Where effective groups operate, there emerged good evidence of increases in rice yields, higher
 532 farmer contributions to design and maintenance of systems, changes in the efficiency and equity of
 533 water use, decreased breakdown of systems and fewer complaints to government departments. In
 534 China, a quarter of all villages have Water User Associations (WUAs), and these have reduced
 535 maintenance expenditure whilst improving the timeliness of water delivery and fee collection. Farm
 536 incomes have improved whilst water use has fallen by 15-20% (Zhang et al, 2013; Zhou et al, 2017).
 537 Water user associations have become the primary vehicle for local water management in Mexico,
 538 where 2M of the 3.2 Mha of government-managed systems have been transformed by WUAs; half
 539 the systems in Turkey have been turned over to local groups, increasing cropping intensity and yields
 540 by 53% (Groenfeldt, 2000; Uysal and Atiş , 2010). In India, WUAs cover 15 Mha, but still only 12% of
 541 the irrigated area, even though they lead to increases in area under irrigation, greater equity
 542 (improved benefits for tail-enders), and greater recovery of water charges (a measure of improved
 543 yields) (Sinha, 2004). Some are thought to exist only on paper, and in some areas have been subject
 544 to variable performance, elite capture and irrigation department control (Reddy and Reddy, 2005).
 545 In some contexts, rights' transfers to landowners and tenant farmers have led to landless and fisher
 546 families losing access to wild foods.

547

548 Water user groups have been subject to direct political interference, such as in Indonesia, or have
 549 seen low implementation successes where irrigation departments have been unable to devolve
 550 decision-making to farmers: in Thailand, official records indicate the presence of 13,000 water
 551 groups, but most exist only on paper (Ricks, 2015). Elsewhere, elite capture and continued irrigation
 552 department control continue to restrict success, such as in India, and lack of involvement of women,
 553 and selection bias in the tendency to research groups that work (Meinzen-Dick, 2007). WUAs and
 554 groups have been taken up in central Asia, such as in Azerbaijan, Tajikistan and Uzbekistan, but
 555 farmer numbers tend to be large per social group (>2000 and 76 respectively), and thus the large
 556 coverage (1.5 Mha) may not be matched by effective social capital at local level (Balasubramanya et
 557 al, 2018).

558

559 *Category 5. Pasture and range management*

560

561 Notable pasture examples of social capital include the establishment and spread of management
 562 intensive rotational grazing groups (MIRGs), which require new thinking and methods for grazing
 563 practices, diversification of cropping, including organic agriculture, and new approaches for
 564 agropastoralism. In Brazil, redesigned *Brachiaria* forages in maize-rice and millet-sorghum systems
 565 have increased net productivity led to large increases in all-year forage, used both for livestock and
 566 as a green manure (FAO, 2016b). MIRGs use pasture redesign, centred on short-duration grazing
 567 episodes on small paddocks or temporarily fenced areas, with longer rest periods that allow
 568 grassland plants to regrow before grazing returns (NRC, 2010). Well-managed grazing systems have
 569 been associated with greater temporal and spatial diversity of plant species, increased carbon
 570 sequestration, reduced soil erosion, improved wildlife habitat and decreased input use (Sprague et
 571 al, 2016).

572

573 Group innovations have occurred in Uganda with the development of Agro-Pastoral Field Schools
 574 (APFS) with the training of a large pool of facilitators and trainers (FAO, 2016c). The primary aim has
 575 been to build resilience for communities subject to recurrent hazards such as drought, flood and
 576 animal disease, some accentuated by climate change. Some 4400 APFS have been deployed, with
 577 the training of 850 facilitators and master trainers. Agropastoralists build their livelihood resilience
 578 by increasing the number of intervention options, including pest and disease management, tree
 579 nurseries, watershed management, group marketing, vegetable production, improved seeds and
 580 livestock nutrition. In Kenya and Uganda, volunteer farmer trainers have helped facilitate >300 diary
 581 producer groups (Kiptot and Franzel, 2019). As in all categories, there are examples of empty social
 582 groups having been formed by states (Ho, 2016).

583

584 *Category 6. Supporting services*

585

586 A significant social innovation has been the emergence of informal microfinance systems emerging
 587 from local collective action, particularly for groups of poor families without access to formal capital
 588 and collateral. These have been enablers for agricultural and land transformations, such as for index-
 589 based insurance for livestock herders in the face of climate change (Amare et al., 2019). The largest
 590 numbers of groups have been formed in Bangladesh (1.8M groups), India (4.16M groups) and
 591 Pakistan (0.12M groups). Many groups or programmes begin with microfinance, and evolve to

592 become multifunctional, representing the specific needs of members at their locations. A major
 593 change in thinking and practice occurred when professionals began to realise that it was possible to
 594 provide micro-finance to poor groups, and still ensure high repayment rates. When local groups, in
 595 particular of women, are trusted to manage financial resources, they can be more effective than
 596 banks. The systems work on trust, and payback rates typically reach 98% (Rahman, 2019). In
 597 Cambodia, forming IPM Farmers' Clubs have become Self-help Groups with members putting in their
 598 own money in savings funds to help members access financial assistance instead of borrowing from
 599 other sources that charge high interest rates (FAO, 2018). The microcredit and microloan
 600 programmes in industrialised countries, such as in the USA, are not included in this analysis.

601

602 Three leading innovative institutions are from Bangladesh: the Grameen Bank, the Bangladesh Rural
 603 Advancement Committee (BRAC), and Proshika (BRAC, 2019; Grameen, 2019; Proshika, 2019). All
 604 groups work primarily with women, and members of groups save every week in order to create the
 605 capital for re-lending. Grameen has 8.9M members in 1.38M groups spread over 81,000 villages:
 606 97% of its members are women. BRAC has 5.4M members in 108,000 groups, and takes a
 607 deliberately integrated approach to poverty pockets, especially in wetlands, on riverine islands and
 608 for indigenous populations. Through a single platform they provide agricultural and skills support,
 609 education, legal services, health care, and loans. More than 130 of its women members have been
 610 elected into government structures. BRAC has also diversified into social enterprises: for artisans,
 611 livestock insemination services, cold storage for potato farmers, milk processing, services for fish
 612 farmers, tree seedlings, iodised salt, and sericulture.

613

614 *Category 7. Innovation platforms*

615

616 This category centres on the co-production of technologies to advance the sustainable management
 617 of agriculture and land. There are a growing number of successful platforms for such engagement,
 618 including in West Africa, China, Bangladesh, Cuba, India and Indonesia (Winarto et al., 2017;
 619 Agarwal, 2018). Most, though, remain at small scale. Innovation platforms in West Africa have
 620 resulted in increased yields and income for both maize and cassava systems (Jatoo et al., 2015).
 621 Farmer collectives have put agroecological and cultural objectives higher than just productivity in
 622 China (Zhou et al., 2017) and, in Bangladesh, similar platforms have led to adoption of direct seeded
 623 rice and early maturing varieties that have changed patterns of both wet and dry season farming,
 624 increasing incomes by US\$600 per hectare, and substantially reducing labour costs (Malabayabas et
 625 al., 2014). In all successful cases, there have been facilitators curating the redesign.

626

627 The concept of the Science and Technology Backyard Platforms (STB) was established in China's
 628 Quzhou County (Zhang et al., 2016), an innovation deployed to increase the sharing of knowledge
 629 and skills between scientists and farmers. STBs bring agricultural scientists to live in villages, and use
 630 field demonstrations, farming schools, and yield contests to engage farmers in externally- and
 631 locally-developed innovations. Reflections on success centre more on in-person communications,
 632 socio-cultural bonding, and the trust developed amongst farmer groups of 30-40 individuals. In
 633 Cuba, the Campesino-a-Campesino movement has developed an approach to agroecological
 634 integration that is redesigning systems (Rosset et al., 2011). It is also centred on Freirian social
 635 communication, in which farmers spread knowledge and technologies to each other through peer-

636 to-peer exchanges, teaching and cooperatives. There are 100,000 peasant farmer members of
 637 Campesino-a-Campesino in Cuba.

638

639 Social groups have been formed in industrialised countries to develop cooperative approaches
 640 towards sustainable practices, and include Concept Oriented Research Clusters and Groupement
 641 Agricole d'Exploitation en Common in France (Caron et al, 2008; Agarwal and Dorin, 2019), Practical
 642 Farmers of Iowa (PFI, 2019), No-Till on the Plains (Kansas) (NTP, 2019), and the Ecological Farmers
 643 Association of Ontario (EFAO, 2019). Across all of the EU, 900 EIP Agri-Operational groups have been
 644 formed to aid farmer innovation (EC, 2019); and with ten countries, 34 projects investigated as part
 645 of the PEGASUS project have been engaged in rehabilitating orchards, wilding headwaters,
 646 improving groundwater quality, creating biosphere reserves, developing IPM, and creating new
 647 haymilk systems for upland farmers, with the aim to achieve persistent improvements in natural
 648 capital by engaging in social action within defined geographic areas (Maréchal et al., 2018).

649

650 *Category 8. Intensive small-scale systems*

651

652 Social capital has been formed to aid the intensive use of small patches of land and water,
 653 particularly for the cultivation of vegetables and rearing fish, poultry and small livestock. It has also
 654 been developed to link farmers directly to consumers, particularly through Community Supported
 655 Agriculture (CSA) farms and Japanese teikei in industrialised countries (Urgenci, 2016). Across the
 656 EU, there are 2800 CSAs directly linked to consumer members. Further examples include allotments,
 657 community gardens and urban farms, and vertical and hydroponic farms. In less developed
 658 countries, small patches are often located in gardens, at field boundaries, and in urban and rural
 659 landscapes. Patch intensification for aquaculture ponds and tanks has been shown to raise protein
 660 production, reduce nitrogen requirements for crops, and positively impact agricultural productivity
 661 (Brummett and Jamu, 2011). Raised beds for vegetables in East Africa have been beneficial for large
 662 numbers of women, homestead garden production has spread in Bangladesh, and in China redesign
 663 has been exemplified by the development of integrated vegetable and fruit, pig and poultry farms
 664 with biogas digesters: farm plots are small (0.14 ha), yet farmers recycle wastes, produce methane
 665 for cooking, and reduce burning of wood and crop residues, with implementation on 50M household
 666 plots (Gu et al., 2016). In Brazil, the government's food purchase program (PAA) and *Fome Zero*
 667 project supports 364,000 family farmers in groups through direct purchase for schools, religious
 668 projects, hospitals, municipal departments and jails (Wittman and Blesh, 2017), and in Cuba urban
 669 organopónicos have contributed substantially to the effectiveness of food systems (Cederlöf, 2016).

670

671

672 **Securing Sustainability**

673

674 We have shown that over the past two decades a variety of novel social infrastructure has created
 675 platforms for collective transitions toward greater sustainability of agriculture and land management
 676 amongst rural communities across the world. These have increased greater flows of knowledge and
 677 technologies, and built trust amongst individuals and agencies. The cumulative increase in numbers
 678 of social groups from 0.5M to 8.5M over two decades implies there have been transformations in
 679 capacity and personal benefit combined with improved environmental outcomes for agricultural
 680 landscapes.

681

682 The marked difference in implementation and uptake of social capital between industrialised and
 683 less-developed countries is striking. In industrialised countries, farmers have tended also to be self-
 684 organised into value-chain based groups of common interest rather than in groups within specific
 685 geographic territories, though this could change with growing interest in policy support for
 686 landscape scale change to deliver public goods, such as in the UK's 25 Year Environmental Plan
 687 (Defra, 2019). The latter needs facilitation and support, a particular challenge where investments in
 688 extension are small, or where public extension systems no longer exist. Nonetheless, where
 689 geographically-based groups are formed, both productivity and natural capital outcomes can be
 690 substantial (Maréchal et al, 2018).

691

692 This shift towards sustainable redesign in agriculture and land management has been successful where
 693 individual worldviews have changed, emerging from the processes of co-production embedded in groups.
 694 Many programmes have built on the principles of adult learning, social ecology, liberation education and
 695 epistemic change. Social capital can provide a supportive context for transformations, both in practices
 696 (behaviours and choices) and personally (the inner journey) (Norgaard, 2004; Bawden, 2011). Through
 697 experiences in the world, each person comes to see and know it from a particular epistemic position that
 698 reflects a set of assumptions about reality. These assumptions and worldviews shape the way each person
 699 chooses to act and behave. Such epistemic change is called for in turbulent times, as there is an inseparable
 700 interconnection between cognition and action (Fear et al, 2006). It has previously been argued that social
 701 groups, movements and campaigns comprise an "immune system" for the planet (Hawken, 2007), in
 702 that they offer platforms for collective action and larger scale actions towards greater sustainability
 703 and equity.

704

705 Social media and mobile platforms for information will play a complementary role in information
 706 access and exchanges, as well as helping to keep people connected (FAO, 2019). The term
 707 sustainable suggests an incorporation of the need for improvement (e.g., to well-being, food
 708 production, natural capital), and thus requires the need to change the way individuals think about
 709 and come to know about the world (Norgaard, 2004). To date, epistemic communities and networks
 710 of social capital have been established in many locations, and could build distributed expertise and
 711 trust over time (Granjou and Arpin, 2015; de Bruijn and Gerrits, 2018), particularly where there is a
 712 greater number and diversity of engaged actors (Hazard et al, 2018; Grêt-Regamany et al., 2019).
 713 Social capital in a variety of forms could help to open up science to innovation, particularly where
 714 problems are complex and solutions unknown, and where the values of all actors are salient
 715 (Richardson et al., 2018).

716

717 Nonetheless, there will be constraints and countervailing pressures. Land tenure and secure rights are
 718 preconditions to local people making long-term investments in natural and social assets. When
 719 Burkina Faso and Niger granted rights to individuals to use their own trees as they wished (mid-
 720 1980s), this resulted in an increase in tree cover as there were now incentives for the long-term
 721 rather immediate resource extraction (Godfray et al., 2010; Sendzimir et al., 2011).

722

723 Though state and international organisations have contributed to the advance in numbers of social
 724 groups, the evidence for positive actions from the private sector is weak, with many not matching up
 725 to their own statements on corporate social responsibility (Elder and Dauvergen, 2015). There is
 726 evidence (from the field) that pesticide companies have promoted and run farmer field schools

727 precisely to sell more product, and thus not to develop forms of integrated pest management that
728 reduce negative impacts on the environment and human health. It has been argued that big retail
729 power will not be interested in social groups in rural areas unless they serve their own purposes, and
730 thus that the “dance of the supply chain” (Friedberg, 2020) cannot produce progressive outcomes.
731 At the same time, apparent inflows of foreign direct investment into poorer countries may continue
732 to lead to outflows of capital in profits and returns on investments (Veltmeyer, 2018).

733

734 We have found that social groups have emerged from both government and non-government
735 contexts. Some have required critical changes to policy or regulation, often more effective at state
736 or district level. Changes to water rights allowed the emergence and spread of participatory
737 irrigation; changes to forest and tree use rights were essential platforms for joint and participatory
738 forest management programmes to be established and devolve decision-making to local people;
739 changes to lending assumptions allowed banks to lend to NGOs and social groups, which then
740 provided security rather than individuals. Further policy changes and support will be important to
741 help these projects spread. Extension systems, for example, will need to adopt more cooperative
742 models rather than seeking only to work with compliant individuals (Clark et al., 2017).

743

744 It will be important to be mindful of the past failures of state organisations that have undermined,
745 ignored or suffocated local resource-based institutions (Palmer, 1976; Jodha, 1990; Levien et al.,
746 2018), or who have created paper or empty institutions (Ho, 2016). Many members and activists in
747 social and agroecological movements would also argue that it’s the structures of the world economy
748 (and its capitalism) that prevent effective transformations towards sustainability and equity (Giraldo
749 and Rosset, 2017; Moore, 2017). Nonetheless, as social groups federate into higher-level structures,
750 they too are able to act to deliver greater agricultural and natural capital benefits, as well as returns
751 to farmers (Kondoh, 2015). Though we were unable to gather data on the gender mix between and
752 within social groups, it is clear that mixed groups of women and men are more effective (in terms of
753 farm and/or forest productivity) than single gender groups, and groups of women are more effective
754 than groups just consisting of men (Westerman et al., 2005; Leisher et al., 2016; Agarwal, 2018).
755 Programmes seeking to form social groups will thus need to be aware of how to ensure full and
756 proper participation by women.

757

758 A separate but important evidence base points towards the health and well-being effects of the
759 greater trust and reciprocity that inheres when social capital is high. Social capital is known to have
760 positive effects on well-being (Holt-Lunstad et al., 2017), and on life satisfaction and longevity
761 (Graton and Scott, 2016; Layard, 2020). Though not part of the recorded benefits of these social
762 groups, it can reasonably be assured that members will be receiving personal benefits over and
763 above the functional improvements to farm productivity and income. The socially-connected live
764 longer and are happier (Holt-Lunstad et al., 2017), and countries with higher levels of trust in other
765 people are happier (WHR, 2019, 2020). Volunteers who contribute to the well-being of others and to
766 the quality of lived environments tend to have healthier lifestyles, lower incidence of mental ill-
767 health, and live longer (Borgonovi et al., 2008, 2010; Anderson et al., 2014; Layard, 2020). On the
768 other hand, net well-being across populations is reduced by growth in inequity (Wilkinson and
769 Pickett, 2009, 2018), breakdown of social structures and support (Picketty and Saez, 2014), and lack
770 of access to natural and green spaces (Mitchell and Popham, 2008; Mitchell et al., 2015).

771

772 This platform of 8.5M social groups distributed across 55 countries, but comprising 3% of the world
 773 population, could comprise an opportunity to consider greater challenges, such as advances towards
 774 meeting the Sustainable Development Goals and addressing climate change. If different worlds are
 775 to be brought forth as a function of a quest to transform the way we live and consume, we will need to
 776 modify the epistemes that have come to dominate modern consumption cultures (Bawden, 2011).
 777 Some social capital is already influencing global systems, resulting for example in mitigations of
 778 climate change, biodiversity loss and air pollution, as well as increases in net food production.
 779 Platforms of groups, for example, could engage in co-production of new patterns of material
 780 consumption and ways of living within global boundaries and limits (Jackson, 2009; Pretty, 2013;
 781 Dorling, 2020). Some argue that large scale advances in sustainability and equity are impossible if
 782 capitalism and class are ignored (Levien et al, 2018).

783

784 It is clear that considerable changes will be required worldwide to limit the advance of the climate
 785 crisis, both in individual choices and behaviours and in the policies developed by all countries. An era
 786 of “degrowth” may be needed (Gerber, 2020), and certainly of green restructuring of economies
 787 directly to reduce material consumption and substitute with sustainable or green alternatives
 788 (Ivanova et al., 2020). There may be, in short, possibilities of the good life within planetary
 789 boundaries (O’Neill et al., 2018; Dorling, 2020; Layard, 2020). We have not analysed the political
 790 philosophies or aims of these social groups. Clearly individuals will have many reasons for organising
 791 and taking collective action, and given the context for these changes it is likely that many individuals
 792 will continue to support sustainability and equity outcomes. But there is no guarantee that such
 793 values will remain unchanged.

794

795 Can these groups survive and flourish? Threats to these groups will come from external and internal
 796 sources. External could include major social and economic disruption (e.g. following the Covid
 797 pandemic); climate-driven forced abandonment of farms and territory; policy changes in support of
 798 land grabs and large commercial monoculture operations (e.g. for oil palm); and state support for
 799 only empty or non-credible groups. Internal disruptors could include stresses arising from benefit
 800 capture by individuals; gender imbalances in benefits; and farm abandonment in favour of
 801 employment in urban areas. Nonetheless, many advantages have been found in the sharing
 802 economies of connected food systems where goods and services are pooled (Miralles et al., 2017):
 803 more even distribution of power, increased collaborative consumption, higher trust, and more
 804 efficient use of resources. Agricultural transformations will be critical in the coming years both for
 805 contributing to reducing climate forcing and to mitigate negative effects. Some have called for
 806 adventurous food futures (Carolan, 2015). It would appear that social groups and movements have
 807 already created opportunities for individual and collective transformations.

808

809

810 **Concluding Comments**

811

812 This assessment has shown growth in numbers of groups engaged in platforms of innovative and
 813 sustainable management within geographic territories of engagement over the past two decades.
 814 These groups deliver individual and public benefits, improve well-being and natural capital, and
 815 provide platforms for wider progress towards sustainability. These groups provide the basis for
 816 further progressive change towards sustainable policies and behaviours, with opportunities to help

817 mitigate the advance of some global environmental challenges. We further note this social
 818 infrastructure has already changed worldviews, capacities to redesign towards sustainability, and
 819 increased net productivity of agricultural and land systems.

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821 Attention will need to be paid to ensuring access to groups is equitable, and to ensure there is
 822 further research on the causative links between all forms of social capital and the emergence of
 823 more sustainable practices. In a number of contexts, social groups exist only on paper to meet policy
 824 objectives, and any increase of this phenomenon will undermine the wider goals of seeking further
 825 social capital formation. At the same time, some wider political and economic structures will make
 826 formation of social groups harder to sustain. Nonetheless, the redesign of all agricultural and land
 827 management remains a critical global challenge, and though growth in numbers of groups has been
 828 substantial, in many cases supported by novel policies and regulations within countries, more
 829 support is needed to ensure best practice is spread to aid the transitions towards more sustainable
 830 and equitable forms of farmed and managed landscapes worldwide.

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1218 **A Note on Terminology**

1219 There is no completely acceptable terminology for grouping of types of countries. Terms relate to past stages
1220 of development (developed, developing, less-developed), state of economy or wealth (industrialised, affluent,
1221 G8, G20), geographic location (global south or north), or membership (OECD, non-OECD). None are perfect:
1222 China has the second largest economy measured by GDP (which does not accurately measure all aspects of
1223 economies, environments and societies), yet might be considered still developing or less-developed; the USA
1224 has the largest economy by GDP, yet has nearly 50M hungry people. Here we have simply used *industrialised*
1225 and *less-developed*, and acknowledge the shortcomings. We use the term *pesticide* to cover all forms of insect,
1226 weed and disease control compounds; similarly integrated pest management is taken to cover insect, weed,
1227 disease, mammal and bird management. We use *extensionist* to describe agricultural extension workers or
1228 service providers, as it is in common use in the sector; here we suggest that the role has greater effectiveness
1229 when centred on engagement and co-production of knowledge, rather than simply transfer.

1230

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1237

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1239 The authors declare there are no competing interests in this paper, as defined as financial and non-financial
1240 interests that could directly undermine, or be perceived to undermine, the objectivity, integrity and value of a
1241 publication, through a potential influence on the judgements and actions of authors with regard to objective
1242 data presentation, analysis and interpretation.

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